

Securities (Nonconsolidated)

Sumitomo Mitsui Banking Corporation

Balance of Securities

Year-End Balance

March 31	Millions of yen		
	2004	2003	2002
Domestic operations:			
Japanese government bonds	¥13,897,044	¥12,349,063	¥ 9,599,109
Japanese local government bonds	417,831	294,274	429,412
Japanese corporate bonds	2,371,760	2,081,107	1,183,562
Japanese stocks	3,660,522	3,508,151	5,595,410
Others	129,442	88,295	21,308
Foreign bonds	/	/	/
Foreign stocks	/	/	/
Subtotal	¥20,476,601	¥18,320,892	¥16,828,804
International operations:			
Japanese government bonds	¥ —	¥ —	¥ —
Japanese local government bonds	—	—	—
Japanese corporate bonds	—	—	—
Japanese stocks	—	—	—
Others	6,115,982	5,335,492	3,614,192
Foreign bonds	5,187,456	4,409,837	2,863,638
Foreign stocks	928,525	925,655	750,553
Subtotal	¥ 6,115,982	¥ 5,335,492	¥ 3,614,192
Total	¥26,592,584	¥23,656,385	¥20,442,996

Average Balance

Year ended March 31	Millions of yen		
	2004	2003	2002
Domestic operations:			
Japanese government bonds	¥12,820,808	¥11,355,059	¥11,707,532
Japanese local government bonds	354,229	334,482	393,236
Japanese corporate bonds	2,141,145	1,443,106	1,061,395
Japanese stocks	3,207,224	5,655,162	6,494,197
Others	75,254	59,250	30,941
Foreign bonds	/	/	/
Foreign stocks	/	/	/
Subtotal	¥18,598,661	¥18,847,060	¥19,687,304
International operations:			
Japanese government bonds	¥ —	¥ —	¥ —
Japanese local government bonds	—	—	—
Japanese corporate bonds	—	—	—
Japanese stocks	—	—	—
Others	5,216,457	4,283,361	3,738,953
Foreign bonds	4,303,429	3,565,089	2,851,256
Foreign stocks	913,027	718,271	887,696
Subtotal	¥ 5,216,457	¥ 4,283,361	¥ 3,738,953
Total	¥23,815,118	¥23,130,421	¥23,426,257

Notes: 1. Figures for the year ended March 31, 2003, include those of the former SMBC for the period from April 1, 2002 to March 16, 2003.

2. The average balance of foreign-currency-denominated transactions by domestic branches in international operations is calculated by the monthly current method.

Balance of Securities Held, Classified by Maturity

March 31	Millions of yen		
	2004	2003	2002
One year or less			
Japanese government bonds	¥ 2,586,741	¥ 3,224,334	¥2,155,760
Japanese local government bonds	1,174	6,119	25,433
Japanese corporate bonds	122,080	128,939	87,161
Others	255,415	159,914	219,992
Foreign bonds	251,402	129,200	218,291
One — three years			
Japanese government bonds	¥ 2,349,136	¥ 1,802,741	¥3,613,404
Japanese local government bonds	24,549	6,910	41,395
Japanese corporate bonds	697,926	627,709	340,745
Others	2,923,707	2,003,382	1,784,002
Foreign bonds	2,898,312	1,995,829	1,783,941
Three — five years			
Japanese government bonds	¥ 4,658,046	¥ 4,175,621	¥2,392,875
Japanese local government bonds	169,736	95,742	69,013
Japanese corporate bonds	1,066,954	826,585	469,994
Others	1,201,085	795,661	160,972
Foreign bonds	1,179,263	780,435	160,315
Five — seven years			
Japanese government bonds	¥ 2,026,179	¥ 1,113,572	¥ 465,271
Japanese local government bonds	12,662	39,278	96,921
Japanese corporate bonds	258,241	272,594	133,812
Others	165,856	168,291	68,426
Foreign bonds	141,726	164,803	67,652
Seven — 10 years			
Japanese government bonds	¥ 1,175,114	¥ 1,878,410	¥ 771,568
Japanese local government bonds	209,189	145,642	196,077
Japanese corporate bonds	223,592	223,378	149,948
Others	277,458	585,142	57,934
Foreign bonds	261,747	569,753	57,289
More than 10 years			
Japanese government bonds	¥ 1,101,824	¥ 154,383	¥ 200,230
Japanese local government bonds	519	580	570
Japanese corporate bonds	2,964	1,900	1,900
Others	386,108	707,823	510,543
Foreign bonds	362,180	706,385	508,912
No designated term			
Japanese government bonds	¥ —	¥ —	¥ —
Japanese local government bonds	—	—	—
Japanese corporate bonds	—	—	—
Japanese stocks	3,660,522	3,508,151	5,595,410
Others	1,035,792	1,003,572	833,629
Foreign bonds	92,822	63,429	67,236
Foreign stocks	928,525	925,655	750,553
Total			
Japanese government bonds	¥13,897,044	¥12,349,063	¥9,599,109
Japanese local government bonds	417,831	294,274	429,412
Japanese corporate bonds	2,371,760	2,081,107	1,183,562
Japanese stocks	3,660,522	3,508,151	5,595,410
Others	6,245,424	5,423,788	3,635,501
Foreign bonds	5,187,456	4,409,837	2,863,638
Foreign stocks	928,525	925,655	750,553