

# Securities (Nonconsolidated)

Sumitomo Mitsui Banking Corporation

## Balance of Securities

### Period-End Balance

|                                       | Millions of yen    |
|---------------------------------------|--------------------|
|                                       | September 30, 2006 |
| Domestic operations:                  |                    |
| Japanese government bonds .....       | ¥ 7,985,919        |
| Japanese local government bonds ..... | 541,427            |
| Japanese corporate bonds .....        | 3,812,327          |
| Japanese stocks .....                 | 4,539,778          |
| Others .....                          | 967,351            |
| Foreign bonds .....                   | /                  |
| Foreign stocks .....                  | /                  |
| Subtotal .....                        | ¥17,846,805        |
| International operations:             |                    |
| Japanese government bonds .....       | ¥ —                |
| Japanese local government bonds ..... | —                  |
| Japanese corporate bonds .....        | —                  |
| Japanese stocks .....                 | —                  |
| Others .....                          | 4,200,640          |
| Foreign bonds .....                   | 2,967,430          |
| Foreign stocks .....                  | 1,233,209          |
| Subtotal .....                        | ¥ 4,200,640        |
| Total .....                           | ¥22,047,445        |

### Average Balance

|                                       | Millions of yen                     |
|---------------------------------------|-------------------------------------|
|                                       | Six months ended September 30, 2006 |
| Domestic operations:                  |                                     |
| Japanese government bonds .....       | ¥ 9,551,449                         |
| Japanese local government bonds ..... | 556,969                             |
| Japanese corporate bonds .....        | 3,740,184                           |
| Japanese stocks .....                 | 2,910,406                           |
| Others .....                          | 914,724                             |
| Foreign bonds .....                   | /                                   |
| Foreign stocks .....                  | /                                   |
| Subtotal .....                        | ¥17,673,733                         |
| International operations:             |                                     |
| Japanese government bonds .....       | ¥ —                                 |
| Japanese local government bonds ..... | —                                   |
| Japanese corporate bonds .....        | —                                   |
| Japanese stocks .....                 | —                                   |
| Others .....                          | 4,118,281                           |
| Foreign bonds .....                   | 2,880,924                           |
| Foreign stocks .....                  | 1,237,356                           |
| Subtotal .....                        | ¥ 4,118,281                         |
| Total .....                           | ¥21,792,015                         |

Note: The average balance of foreign-currency-denominated transactions by domestic branches in international operations is calculated by the monthly current method.

## Balance of Securities Held, Classified by Maturity

|                                    | Millions of yen     |                      |                       |                       |                     |                       |                       |            |
|------------------------------------|---------------------|----------------------|-----------------------|-----------------------|---------------------|-----------------------|-----------------------|------------|
|                                    | September 30, 2006  |                      |                       |                       |                     |                       |                       |            |
|                                    | One year<br>or less | One — three<br>years | Three — five<br>years | Five — seven<br>years | Seven — 10<br>years | More than 10<br>years | No designated<br>term | Total      |
| Japanese government bonds .....    | ¥3,719,628          | ¥ 64,985             | ¥ 991,821             | ¥583,990              | ¥224,614            | ¥2,400,878            | ¥ —                   | ¥7,985,919 |
| Japanese local government bonds... | 38,570              | 125,006              | 62,685                | 212,684               | 102,044             | 436                   | —                     | 541,427    |
| Japanese corporate bonds .....     | 509,253             | 1,021,126            | 1,174,940             | 618,922               | 383,714             | 104,370               | —                     | 3,812,327  |
| Japanese stocks .....              | —                   | —                    | —                     | —                     | —                   | —                     | 4,539,778             | 4,539,778  |
| Others .....                       | 1,034,674           | 567,480              | 472,105               | 128,415               | 711,309             | 524,171               | 1,729,834             | 5,167,991  |
| Foreign bonds .....                | 980,998             | 516,729              | 361,684               | 86,187                | 582,370             | 408,638               | 30,822                | 2,967,430  |
| Foreign stocks .....               | —                   | —                    | 13,650                | —                     | 7,464               | 106,657               | 1,105,437             | 1,233,209  |