### **ESG Highlights**

#### Accreditation





Garnered solid reputation for our investor engagement and other IR activities

The Securities Analysts Association of Japan Japan Investor Relations Association



Selected five times in the Nadeshiko Brand selection of listed companies excelling at empowering female employees

> METI Tokyo Stock Exchange



Acquired Top Gold Rating in PRIDE index evaluation for LGBT-related initiatives work with Pride



G

INANCE

AWARDS

JAPAN

SMBC Nikko Securities and SMBC were selected as

financial institutions engaged in ESG financing

MOE

Selected four times as company exercising superior health and productivity management METI



攻めのIT経営銘柄2019 **Competitive IT Strategy Company** 

Recognized as a company practicing Competitive IT Strategy to improve corporate value

> METI Tokyo Stock Exchange



Recognized as company that stands on the forefront of sustainable growth by heightening productivity through workstyle reforms

Nikkei

About SMBC Group

#### Support for initiatives in Japan and overseas

As a global corporate citizen of the global society, SMBC Group is fully aware of the social impact of financial institutions, and it supports the following initiatives in Japan and overseas (the action guidelines for the corporate activities and principles).







CFD

TASK FORCE ON CLIMATE-RELATED FINANCIAL

Signatory of:





### **ESG Highlights**



See page 080 for more information.

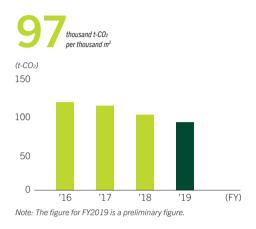
Project finance for overseas renewable energy projects

#### Annual CO<sub>2</sub> reduction





Total CO<sub>2</sub> Emissions (per m<sup>2</sup>)



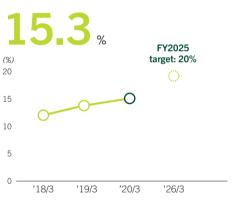
# Social

See page 082, 095 for more information.

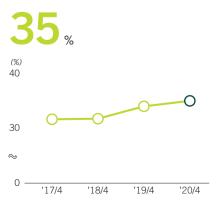
Rate of employees taking parental leave (male)



#### Ratio of female managers



# Ratio of GM positions with locally hired employees



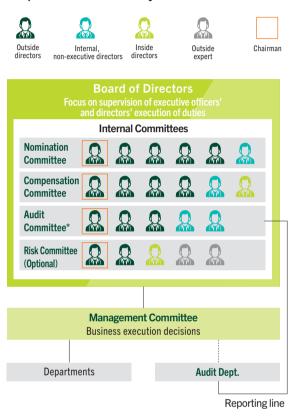


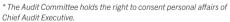
#### Paid leave acquisition rate

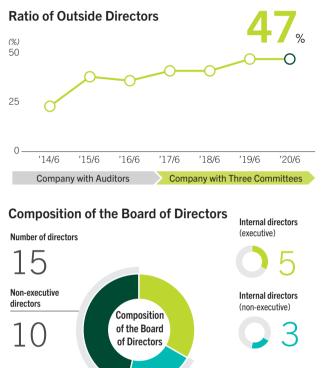


### **Governance** See page 064 for more information.

Corporate Governance System







 
 Areas of Expertise of Outside Directors

 Management
 3

 Finance / accounting
 1

 Law
 2

 Diplomacy
 1



SMBC Group has been included in the following major global ESG indices (as of June 30, 2020).







67%

Outside

directors



47%



MSCI ESG Leaders Indexes Constituent

#### **2020** CONSTITUENT MSCI JAPAN ESG SELECT LEADERS INDEX

# **2020** CONSTITUENT MSCI JAPAN EMPOWERING WOMEN INDEX (WIN)