

# Corporate Governance

## Sumitomo Mitsui Financial Group Directors

(As of June 26, 2020)



*Chairman of the Board*

### Takeshi Kunibe

1976 Joined Sumitomo Bank  
 2003 Executive Officer of Sumitomo Mitsui Banking Corporation ("SMBC")  
 2006 Managing Executive Officer of SMBC  
 2007 Managing Executive Officer of the Company  
 Director of the Company  
 2009 Director and Senior Managing Executive Officer of SMBC  
 2011 President and Chief Executive Officer of SMBC  
 2017 President of the Company  
 Resigned as Director of SMBC  
 Director President of the Company  
 2019 Chairman of the Board of the Company (to present)



*Director President (Representative Corporate Executive Officer) Group CEO*

### Jun Ohta

1982 Joined Sumitomo Bank  
 2009 Executive Officer of SMBC  
 2012 Managing Executive Officer of SMBC  
 2013 Managing Executive Officer of the Company  
 2014 Senior Managing Executive Officer of the Company  
 Senior Managing Executive Officer of SMBC  
 Director of the Company  
 2015 Director and Senior Managing Executive Officer of SMBC  
 2017 Director and Deputy President of the Company  
 Resigned as Director of SMBC  
 Director Deputy President and Corporate Executive Officer of the Company  
 2018 Director and Deputy President of SMBC  
 2019 Director President of the Company (to present)  
 Resigned as Director of SMBC



*Director President of SMBC*

### Makoto Takashima

1982 Joined Sumitomo Bank  
 2009 Executive Officer of SMBC  
 2012 Managing Executive Officer of SMBC  
 2014 Senior Managing Executive Officer of SMBC  
 2016 Director and Senior Managing Executive Officer of SMBC  
 2017 President of SMBC (to present)  
 Director of the Company (to present)



*Director Senior Managing Corporate Executive Officer Group CRO Director and Senior Managing Executive Officer of SMBC*

### Haruyuki Nagata

1985 Joined Mitsui Bank  
 2011 General Manager of Financial Accounting Department of the Company  
 2013 Executive Officer of SMBC  
 2015 Managing Executive Officer of SMBC  
 2016 Managing Executive Officer of the Company  
 2018 Director and Managing Executive Officer of SMBC  
 Director and Senior Managing Executive Officer of SMBC (to present)  
 2019 Senior Managing Corporate Executive Officer of the Company  
 Director Senior Managing Corporate Executive Officer of the Company (to present)



*Director Senior Managing Corporate Executive Officer  
Group CFO, Group CSO  
Director and Senior Managing Executive Officer of SMBC*

## Toru Nakashima

1986 Joined Sumitomo Bank  
2014 Executive Officer of SMBC  
2015 General Manager of Corporate Planning Department of the Company  
2016 Managing Executive Officer of SMBC  
2017 Managing Executive Officer of the Company  
2019 Director and Managing Executive Officer of SMBC  
Senior Managing Corporate Executive Officer of the Company  
Director and Senior Managing Executive Officer of SMBC  
(to present)  
Director Senior Managing Corporate Executive Officer of the Company  
(to present)



*Director  
Director of SMBC*

## Atsuhiko Inoue

1981 Joined Sumitomo Bank  
2008 Executive Officer of SMBC  
2011 Managing Executive Officer of SMBC  
2014 Senior Managing Executive Officer of the Company  
Director and Senior Managing Executive Officer of SMBC  
Director of the Company  
2015 Resigned as Director of the Company  
Senior Managing Executive Officer of SMBC  
2019 Director of the Company (to present)  
Director of SMBC (to present)



*Director*

## Toru Mikami

1984 Joined Sumitomo Bank  
2006 General Manager of Legal Department of SMBC  
2013 Co-General Manager of General Affairs Department of the Company  
2015 Senior Manager of Head Office of SMBC  
Standing Corporate Auditor of the Company  
2017 Director of the Company (to present)



*Director  
Representative Director, Chairman of SMBC Nikko*

## Yoshihiko Shimizu

1978 Joined Sumitomo Bank  
2004 Executive Officer of SMBC  
2008 Managing Executive Officer of SMBC  
2010 Director and Senior Managing Executive Officer of SMBC  
2012 Director and Deputy President of SMBC  
2014 Director and Deputy Chairman of SMBC  
2015 Resigned as Director of SMBC  
2015 Advisor of SMBC Nikko Securities Inc. ("SMBC Nikko")  
2015 Representative Director, Deputy President of SMBC Nikko  
2016 Representative Director, President & CEO of SMBC Nikko  
2020 Representative Director, Chairman of SMBC Nikko (to present)

## Corporate Governance

### Sumitomo Mitsui Financial Group Directors

(As of June 26, 2020)



*Director  
Special Advisor of Central  
Japan Railway Company*

#### Masayuki Matsumoto

- 1967 Joined the Japanese National Railways
- 1987 Joined Central Japan Railway Company
- 2004 President and Representative Director of Central Japan Railway Company
- 2010 Vice Chairman and Representative Director of Central Japan Railway Company
- 2011 Resigned as Director of Central Japan Railway Company  
President of Japan Broadcasting Corporation
- 2014 Retired from Japan Broadcasting Corporation  
Special Advisor of Central Japan Railway Company (to present)
- 2015 Director of SMBC
- 2017 Director of the Company (to present)  
Retired as Director of SMBC



*Director  
Attorney at law, admitted in New  
York, the U.S.A. and Foreign  
Attorney in Japan*

#### Arthur M. Mitchell

- 1976 Registered as an attorney at law, admitted in New York, the U.S.A. (to present)
- 2003 General Counsel of the Asian Development Bank
- 2007 Joined White & Case LLP
- 2008 Registered as Foreign Attorney in Japan (to present)  
Registered Foreign Attorney in Japan at White & Case LLP (to present)
- 2015 Director of the Company (to present)



*Director  
Certified public accountant*

#### Shozo Yamazaki

- 1970 Joined Tohmatsu Awoki & Co. (currently Deloitte Touche Tohmatsu LLC)
- 1974 Registered as a certified public accountant (to present)
- 1991 Representative Partner of Tohmatsu & Co. (currently Deloitte Touche Tohmatsu LLC)
- 2010 Retired from Deloitte Touche Tohmatsu LLC  
Chairman and President of The Japanese Institute of Certified Public Accountants
- 2013 Advisor of The Japanese Institute of Certified Public Accountants (to present)
- 2014 Professor of Tohoku University Accounting School
- 2017 Director of the Company (to present)



*Director  
Former diplomat*

#### Masaharu Kohno

- 1973 Joined Ministry of Foreign Affairs of Japan
- 2005 Director-General of Foreign Policy Bureau in Ministry of Foreign Affairs of Japan
- 2007 Deputy Minister for Foreign Affairs (in charge of economy) of Ministry of Foreign Affairs of Japan
- 2009 Ambassador of Japan to Russia  
Ambassador of Japan to Russia, Armenia, Turkmenistan and Belarus
- 2011 Ambassador of Japan to Italy  
Ambassador of Japan to Italy, Albania, San Marino and Malta
- 2014 Retired from office
- 2015 Director of the Company (to present)



*Director  
Chairman of Nippon Life Insurance  
Company*

## Yoshinobu Tsutsui

- 1977 Joined Nippon Life Insurance Company
- 2004 Director of Nippon Life Insurance Company
- 2007 Director and Executive Officer of Nippon Life Insurance Company
- Director and Managing Executive Officer of Nippon Life Insurance Company
- 2009 Director and Senior Managing Executive Officer of Nippon Life Insurance Company
- 2010 Representative Director and Senior Managing Executive Officer of Nippon Life Insurance Company
- 2011 President of Nippon Life Insurance Company
- 2017 Director of the Company (to present)
- 2018 Chairman of Nippon Life Insurance Company (to present)



*Director  
Attorney at law*

## Katsuyoshi Shinbo

- 1984 Registered as an attorney at law (to present)
- 1999 Attorney at law at Shinbo Law Office (currently Shinbo & Partners) (to present)
- 2015 Corporate Auditor of SMBC
- 2017 Director of the Company (to present)
- Resigned as Corporate Auditor of SMBC



*Director  
Chairperson and CEO of  
Dow Toray Co., Ltd*

## Eriko Sakurai

- 1987 Joined Dow Corning Corporation
- 2008 Director of Dow Corning Toray Co., Ltd.
- 2009 Chairman and CEO of Dow Corning Toray Co., Ltd.
- 2011 Regional President -Japan/Korea of Dow Corning Corporation
- 2015 President and Representative Director of Dow Corning Holding Japan Co., Ltd. Director of the Company (to present)
- 2018 Executor, Dow Switzerland Holding GmbH, which is a Representative Partner of Dow Silicones Holding Japan G.K. (to present)
- Chairman and CEO of Dow Toray Co., Ltd. (to present)

*Note: Mr. Matsumoto, Mr. Mitchell, Mr. Yamazaki, Mr. Kohno, Mr. Tsutsui, Mr. Shinbo and Ms. Sakurai satisfy the requirements for an "outside director" under the Companies Act.*



**Please see page 139 for information on SMBC's Board of Directors, Directors, Members of the Audit and Supervisory Committee and Executive Officers.**