

# Sumitomo Mitsui Financial Group, Inc.

## Directors and Executive Officers

#### **DIRECTORS AND CORPORATE EXECUTIVE OFFICERS**

Chairman of the Board Takeshi Kunibe

Director President (Representative Executive Officer) Jun Ohta

Group CEC Director

Makoto Takashima

Director Senior Managing Executive Officers Haruyuki Nagata

Group CRO Corporate Risk Management Dept., Risk Management Dept., Americas Division, Credit & Investment Planning Dept.

Toru Nakashima Ioru Nakashima Group CFO and Group CSO Public Relations Dept., Corporate Planning Dept., Business Development Dept., Financial Accounting Dept., Digital Strategy Dept.

Directors Atsuhiko Inoue Toru Mikami Yoshihiko Shimizu Masayuki Matsumoto (1) Arthur M. Mitchell<sup>(1)</sup> Shozo Yamazaki <sup>(1)</sup> Masaharu Kohno <sup>(1)</sup> Yoshinobu Tsutsui <sup>(1)</sup> Katsuyoshi Shinbo <sup>(1)</sup> Eriko Sakurai<sup>(1)</sup>

Deputy President and Executive Officers (Representative Executive Officers)

Gotaro Michihiro Co-Head of Wholesale Business Unit Masahiko Oshima

Head of Global Business Unit Toshikazu Yaku Group CHRO General Affairs Dept., Human Resources Dept. Quality Management Dept., Administrative Services Dept.

Senior Managing Executive Officers Katsunori Tanizaki

Group CDIO Digital Solution Division Digital Strategy Dept.

Naoki Tamura Head of Retail Business Unit Kimio Matsuura

Co-Head of Wholesale Business Unit Tetsuro Imaeda

Group CCO Compliance Dept Fumiharu Kozuka

Group CAE Audit Dept. Masamichi Koike

Head of Global Markets Business Unit Shoji Masuda

Group CIO IT Planning Dept., System Security Planning Dept., Data Management Dept., Operations Planning Dept.

(1) Mr. Matsumoto, Mr. Mitchell, Mr. Yamazaki, Mr. Kohno, Mr. Tsutsui, Mr. Shinbo and Ms. Sakurai satisfy the requirements for an "outside director" under the Companies Act.

# **DEPUTY CHAIRMAN**

Manabu Narita

**EXECUTIVE OFFICERS** 

(as of June 30, 2020)

Senior Managing Executive Officers Toshihiro Isshiki Deputy Head of Retail Business Unit (Wealth Management Business Division) Transaction Business Planning Dept Ryuji Nishisaki Deputy Head of Global Business Unit

lwao Kawaharada

Deputy Head of Wholesale Business Unit Toru Sawada Credit & Investment Planning Dept.

Teiko Kudo Deputy Head of Wholesale Business Unit (Financial Solutions Dept., Sustainable Business Promotion Dept., Planning Dept., Wholesale Business Unit), Deputy Head of Global Business Unit

Managing Executive Officers **CHOW Ying Hoong** 

Co-Head of Asia Pacific Division

Naoya Ishida Wholesale Business Unit Takashi Aiki

Group Deputy CIO and Group Deputy CDIO Nobuyuki Kawabata

Global Business Unit Business Development Dept.

Hitoshi Ishii Deputy Head of Wholesale Business Unit Kazuhiro Notsu Group Deputy CFO

Hitoshi Minami Deputy Head of Global Business Unit Masayoshi Furusho

Group Deputy CHRO Takaki Ono

Wholesale Business Unit Yoshihiro Hyakutome Head of Americas Division

Takashi Yamashita Deputy Head of Retail Business Unit

Hideo Goto Retail Business Unit Toshihiro Sato Deputy Head of Global Markets Business Unit

Takeshi Omoto Group Deputy CIC Tadaaki Komori

Deputy Head of Wholesale Business Unit Hironari Shoji

Wholesale Business Unit Eiichi Sekiguchi Wholesale Business Unit

Kenichi Hida Deputy Head of Retail Business Unit

Yusuke Hirako Wholesale Business Unit, Retail Business Unit Olympic and Paralympic Dept., Corporate Planning Dept.

Muneo Kanamaru Wholesale Business Unit

Airo Shibuya Head of Digital Solution Division Shinya Inose Group Deputy CCO Hiroshi Irie Head of East Asia Division

Yoshiaki Kageyama Co-Head of Asia Pacific Division

Nobu Sakamoto Deputy Head of Retail Business Unit Head of Wealth Management Business Division Hideki Sakamoto

Deputy Head of Wholesale Business Unit Keiichiro Nakamura Head of Europe, Middle East and Africa Division

Yuichi Nishimura

Deputy Head of Americas Division Fumihiko Ito General Manager. Corporate Planning Dept. Hirofumi Otsuka General Manager, Planning Dept., Global Business Unit

Takashi Kobavashi

General Manager, Human Resources Dept.

**Rie Asavama** Retail Business Unit Quality Management Dept. Yukiko Yoritaka Human Resources Dept

Executive Officers

Nobuaki Nakamura Global Markets Business Unit Shuji Yabe Deputy Head of Americas Division

Jun Uchikawa General Manager, IT Planning Dept.

Yoshiyuki Gono General Manager. Corporate Risk Management Dept. Takanori Kato

Wholesale Business Unit (Planning Dept., Wholesale Business Unit) Isaac Deutsch

Deputy Head of Americas Division Hideyuki Omokawa

s Development Dept. Akio Isowa

General Manager Transaction Business Planning Dept Tomoaki Nakayama

Digital Strategy Dept Natsuhiro Samejima General Manager, Planning Dept., Americas Division

Kazuhiro Fukuda General Manager, Planning Dept.. East Asia Division

Yukihiro Mabuchi

General Manager, Planning Dept., Wholesale Business Unit Shinsuke Ushijima

Group Deputy CS Teruya Sugino Group Deputy CRO

Tetsuro Yoshino Group Deputy CAE General Manager, Audit Dept.

Terumasa Takahashi General Manager, Planning Dept., Retail Business Unit

Tatsuya Okumura General Manager, Quality Management Dept.

Hideki Takamatsu General Manager, Operations Planning Dept. Hideki Tahara

General Manager, Public Relations Dept.

Akio Uemura General Manager, Planning Dept., Retail Business Unit Kenii Kawabata General Manager, Credit & Investment Planning Dept. Hideo Kawafune

General Manager, Planning Dept., Europe, Middle East and Africa Division Akihiro Kawara General Manager, Planning Dept., Global Markets Business Unit

Carl Adams Deputy Head of Americas Division

## [REFERENCE]

Group CxO/Head of Business Units (as of June 30, 2020)

Group CxO

Group CEO Jun Ohta Group CFO and Group CSO Toru Nakashima Group CRO Haruyuki Nagata Group CCO Tetsuro Imaeda Group CHRO Toshikazu Yaku Group CIO Shoji Masuda Group CDIO Katsunori Tanizaki Group CAE Fumiharu Kozuka

Head of Business Units Head of Retail Business Unit Naoki Tamura Co-Head of Whole **Business Unit** Gotaro Michihiro Co-Head of Wholesale Business Unit Kimio Matsuura Head of Global Business Unit Masahiko Oshima Head of Global Markets **Business Unit** Masamichi Koike