

## Capital (Non-consolidated)

Sumitomo Mitsui Banking Corporation

### Changes in Number of Shares Issued and Capital Stock

	Millions of yen					
	Number of shares issued		Capital stock		Capital reserve	
	Changes	Balances	Changes	Balances	Changes	Balances
February 16, 2010*	20,016,015	106,318,401	484,037	1,770,996	484,037	1,771,043

Remarks:

\* Allotment to third parties:

Common stock: 20,016,015 shares

Issue price: ¥48,365

Capitalization: ¥24,182.5

### Number of Shares Issued

March 31, 2020	Number of shares issued
Common stock	106,248,400
Preferred stock (1st series Type 6)	70,001
<b>Total</b>	<b>106,318,401</b>

Note: The shares above are not listed on any stock exchange.

### Principal Shareholders

#### a. Common Stock

March 31, 2020	Number of shares	Percentage of shares outstanding
Sumitomo Mitsui Financial Group, Inc.	106,248,400	100.00%

#### b. Preferred Stock (1st series Type 6)

March 31, 2020	Number of shares	Percentage of shares outstanding
Sumitomo Mitsui Banking Corporation	70,001	100.00%