

## Corporate Governance

### Sumitomo Mitsui Financial Group Directors

(As of June 29, 2021)



*Chairman of the Board*

#### **Takeshi Kunibe**

1976 Joined Sumitomo Bank  
2003 Executive Officer of Sumitomo Mitsui Banking Corporation ("SMBC")  
2006 Managing Executive Officer of SMBC  
2007 Managing Executive Officer of the Company  
Director of the Company  
2009 Director and Senior Managing Executive Officer of SMBC  
2011 President and Chief Executive Officer of SMBC  
2017 President of the Company  
Resigned as Director of SMBC  
Director President of the Company  
2019 Chairman of the Board of the Company (to present)



*Director  
President (Representative Corporate Executive Officer)  
Group CEO*

#### **Jun Ohta**

1982 Joined Sumitomo Bank  
2009 Executive Officer of SMBC  
2012 Managing Executive Officer of SMBC  
2013 Managing Executive Officer of the Company  
2014 Senior Managing Executive Officer of the Company  
Senior Managing Executive Officer of SMBC  
Director of the Company  
2015 Director and Senior Managing Executive Officer of SMBC  
2017 Director and Deputy President of the Company  
Resigned as Director of SMBC  
Director Deputy President and Corporate Executive Officer of the Company  
2018 Director and Deputy President of SMBC  
2019 Director President of the Company (to present)  
Resigned as Director of SMBC



*Director  
President of SMBC*

#### **Makoto Takashima**

1982 Joined Sumitomo Bank  
2009 Executive Officer of SMBC  
2012 Managing Executive Officer of SMBC  
2014 Senior Managing Executive Officer of SMBC  
2016 Director and Senior Managing Executive Officer of SMBC  
2017 President of SMBC (to present)  
Director of the Company (to present)



*Director Senior Managing Corporate Executive Officer  
Group CFO, Group CSO  
Director and Senior Managing Executive Officer of SMBC*

#### **Toru Nakashima**

1986 Joined Sumitomo Bank  
2014 Executive Officer of SMBC  
2015 General Manager of Corporate Planning Department of the Company  
2016 Managing Executive Officer of SMBC  
2017 Managing Executive Officer of the Company  
2019 Director and Managing Executive Officer of SMBC  
Senior Managing Corporate Executive Officer of the Company  
Director and Senior Managing Executive Officer of SMBC (to present)  
Director Senior Managing Corporate Executive Officer of the Company (to present)



*Director Senior Managing Corporate Executive Officer  
Group CRO  
Director and Senior Managing Executive Officer of SMBC*

### Teiko Kudo

- 1987 Joined Sumitomo Bank
- 2014 Executive Officer of SMBC
- 2017 Managing Executive Officer of SMBC
- 2020 Senior Managing Executive Officer of the Company  
Senior Managing Executive Officer of SMBC
- 2021 Director and Senior Managing Executive Officer of SMBC (to present)  
Senior Managing Corporate Executive Officer of the Company  
Director Senior Managing Corporate Executive Officer of the Company (to present)



*Director  
Director of SMBC*

### Atsuhiko Inoue

- 1981 Joined Sumitomo Bank
- 2008 Executive Officer of SMBC
- 2011 Managing Executive Officer of SMBC
- 2014 Senior Managing Executive Officer of the Company  
Director and Senior Managing Executive Officer of SMBC  
Director of the Company
- 2015 Resigned as Director of the Company  
Senior Managing Executive Officer of SMBC
- 2019 Director of the Company (to present)  
Director of SMBC (to present)



*Director*

### Toshihiro Isshiki

- 1985 Joined Sumitomo Bank
- 2013 Executive Officer of SMBC
- 2015 Co-General Manager of General Affairs Department of the Company  
Managing Executive Officer of SMBC
- 2017 Managing Executive Officer of the Company
- 2019 Senior Managing Executive Officer of the Company  
Senior Managing Executive Officer of SMBC
- 2021 Retired as Senior Managing Executive Officer of SMBC  
Director of the Company (to present)



*Director  
Chairman of the Board  
(Representative Director) of SMBC Nikko*

### Yasuyuki Kawasaki

- 1982 Joined Sumitomo Bank
- 2009 Executive Officer of SMBC
- 2012 Managing Executive Officer of SMBC
- 2013 Managing Executive Officer of the Company
- 2014 Senior Managing Executive Officer of the Company  
Senior Managing Executive Officer of SMBC
- 2015 Director and Senior Managing Executive Officer of SMBC
- 2017 Deputy President of the Company  
Director and Deputy President of SMBC  
Deputy President and Corporate Executive Officer of the Company
- 2018 Deputy Chairman of the Company  
Deputy Chairman of SMBC
- 2020 Retired as Deputy Chairman of the Company  
Retired as Deputy Chairman of SMBC  
Representative Director and Deputy President  
Executive Officer of SMBC Nikko Securities Inc. ("SMBC Nikko")
- 2021 Chairman of the Board (Representative Director) of SMBC Nikko (to present)  
Director of the Company (to present)

## Corporate Governance

### Sumitomo Mitsui Financial Group Directors

(As of June 29, 2021)



*Director  
Special Advisor of Central  
Japan Railway Company*

#### Masayuki Matsumoto

1967 Joined the Japanese National Railways  
1987 Joined Central Japan Railway Company  
2004 President and Representative Director of Central Japan Railway Company  
2010 Vice Chairman and Representative Director of Central Japan Railway Company  
2011 Resigned as Director of Central Japan Railway Company  
President of Japan Broadcasting Corporation  
2014 Retired from Japan Broadcasting Corporation  
Special Advisor of Central Japan Railway Company (to present)  
2015 Director of SMBC  
2017 Director of the Company (to present)  
Retired as Director of SMBC



*Director  
Attorney at law, admitted in New  
York, the U.S.A. and Foreign  
Attorney in Japan*

#### Arthur M. Mitchell

1976 Registered as an attorney at law, admitted in New York, the U.S.A. (to present)  
2003 General Counsel of the Asian Development Bank  
2007 Joined White & Case LLP  
2008 Registered as Foreign Attorney in Japan (to present)  
Registered Foreign Attorney in Japan at White & Case LLP (to present)  
2015 Director of the Company (to present)



*Director  
Certified public accountant*

#### Shozo Yamazaki

1970 Joined Tohmatsu Awoki & Co. (currently Deloitte Touche Tohmatsu LLC)  
1974 Registered as a certified public accountant (to present)  
1991 Representative Partner of Tohmatsu & Co. (currently Deloitte Touche Tohmatsu LLC)  
2010 Retired from Deloitte Touche Tohmatsu LLC  
Chairman and President of The Japanese Institute of Certified Public Accountants  
2013 Advisor of The Japanese Institute of Certified Public Accountants (to present)  
2014 Professor of Tohoku University Accounting School  
2017 Director of the Company (to present)



*Director  
Former diplomat*

#### Masaharu Kohno

1973 Joined Ministry of Foreign Affairs of Japan  
2005 Director-General of Foreign Policy Bureau in Ministry of Foreign Affairs of Japan  
2007 Deputy Minister for Foreign Affairs (in charge of economy) of Ministry of Foreign Affairs of Japan  
2009 Ambassador of Japan to Russia  
Ambassador of Japan to Russia, Armenia, Turkmenistan and Belarus  
2011 Ambassador of Japan to Italy, Albania, San Marino and Malta  
2014 Retired from office  
2015 Director of the Company (to present)



*Director  
Chairman of Nippon Life Insurance  
Company*

## Yoshinobu Tsutsui

- 1977 Joined Nippon Life Insurance Company
- 2004 Director of Nippon Life Insurance Company
- 2007 Director and Executive Officer of Nippon Life Insurance Company  
Director and Managing Executive Officer of Nippon Life Insurance Company
- 2009 Director and Senior Managing Executive Officer of Nippon Life Insurance Company
- 2010 Representative Director and Senior Managing Executive Officer of Nippon Life Insurance Company
- 2011 President of Nippon Life Insurance Company
- 2017 Director of the Company (to present)
- 2018 Chairman of Nippon Life Insurance Company (to present)



*Director  
Attorney at law*

## Katsuyoshi Shinbo

- 1984 Registered as an attorney at law (to present)
- 1999 Attorney at law at Shinbo Law Office (to present)
- 2015 Corporate Auditor of SMBC
- 2017 Director of the Company (to present)  
Resigned as Corporate Auditor of SMBC



*Director  
President and Representative  
Director of  
Dow Chemical Japan Limited*

## Eriko Sakurai

- 1987 Joined Dow Corning Corporation
- 2008 Director of Dow Corning Toray Co., Ltd.
- 2009 Chairman and CEO of Dow Corning Toray Co., Ltd.
- 2011 Regional President -Japan/Korea of Dow Corning Corporation
- 2015 President and Representative Director of Dow Corning Holding Japan Co., Ltd. Director of the Company (to present)
- 2018 Executor, Dow Switzerland Holding GmbH, which is a Representative Partner of Dow Silicones Holding Japan G.K. (to present)  
Chairman and CEO of Dow Toray Co., Ltd. (to present)
- 2020 President and Representative Director of Dow Chemical Japan Limited (to present)

*Note: Mr. Matsumoto, Mr. Mitchell, Mr. Yamazaki, Mr. Kohno, Mr. Tsutsui, Mr. Shinbo and Ms. Sakurai satisfy the requirements for an "outside director" under the Companies Act.*



Please see page 153 for information on SMBC's Board of Directors, Directors, Members of the Audit and Supervisory Committee and Executive Officers.