Consolidated Statements of Changes in Net Assets Sumitomo Mitsui Financial Group, Inc. and Subsidiaries

Vear ended March 31, 2020 Capital stock Surplus stock Retained earnings became earnings Total Balance at the beginning of the fiscal year ¥2,339,443 ¥739,047 ¥5,992,247 ¥ (16,302) ¥9,054,436 Changes in the fiscal year 521 521 \$521 \$1,043 Cash dividends 521 521 (255,834) (255,834) Porfit attributable to owners of parent 703,883 (100,088) (100,088) Purchase of treasury stock (250) 733 483 Purchase of treasury stock (250) 733 483 Cancellation of treasury stock (250) 101,673 - Cancellation of treasury stock (47,565) 101,673 - Changes in shareholders' interest due to transaction with non-controlling interests (47,565) (47,565) (945) (47,565) Decrease due to decrease in subsidiaries (47,565) (945) (47,565) (945) (47,565) Decrease due to decrease in subsidiaries 101,923 (101,923) (435) (435) Reversal of land revaluation		Millions of yen					
Year ended March 31, 2020 stock surplus earnings stock Total Balance at the beginning of the fiscal year \$2,339,443 \$739,047 \$79,92,247 \$16,302 \$9,054,436 Changes in the fiscal year \$521 \$521 \$521 \$1,043 Issuance of new stock \$521 \$521 \$25,834 \$255,834 Profit attributable to owners of parent \$703,883 \$703,883 \$703,883 Purchase of treasury stock \$(250) \$733 \$483 Cancellation of treasury stock \$(250) \$733 \$483 Cancellation of treasury stock \$(101,673) \$101,673 \$- Changes in shareholders' interest due to transaction with non-controlling interests \$(47,565) \$(47,565) \$(47,565) Decrease due to decrease in subsidiaries \$(47,565) \$(945) \$(945) \$(945) Decrease due to decrease in affiliates accounted for by the equity method \$(47,565) \$(435) \$(435) \$(435) Transfer from retained earnings to capital surplus \$101,923 \$(101,923) \$- \$(435)		0:			•		
Changes in the fiscal year Issuance of new stock		stock				Total	
Cash dividends (255,834) (255,834) Profit attributable to owners of parent 703,883 703,883 Purchase of treasury stock (250) 733 483 Cancellation of treasury stock (101,673) 101,673 — Changes in shareholders' interest due to transaction with non-controlling interests (47,565) (47,565) (47,565) Decrease due to decrease in subsidiaries (945) (945) (945) Decrease due to decrease in subsidiaries (679) (679) (679) Reversal of land revaluation excess (435) (435) (435) Transfer from retained earnings to capital surplus 101,923 (101,923) — Net changes in items other than stockholders' equity in the fiscal year 521 (47,044) 344,064 2,318 299,860 Balance at the end of the fiscal year \$2,339,964 \$4,692,003 \$4,336,311 \$4,13,983 \$49,354,296 Met unrealized gains (losses) Net deferred Land Foreign remeasurements		¥2,339,443	¥ 739,047	¥5,992,247	¥ (16,302)	¥9,054,436	
Profit attributable to owners of parent 703,883 703,883 Purchase of treasury stock (250) 733 483 Disposal of treasury stock (101,673) 101,673 — Cancellation of treasury stock (101,673) 101,673 — Changes in shareholders' interest due to transaction with non-controlling interests (47,565) (47,565) Decrease due to decrease in subsidiaries (945) (945) Decrease due to decrease in affiliates accounted for by the equity method (679) (679) Reversal of land revaluation excess (435) (435) Transfer from retained earnings to capital surplus 101,923 (101,923) — Net changes in items other than stockholders' equity in the fiscal year 521 (47,044) 344,064 2,318 299,860 Balance at the end of the fiscal year \$2,339,964 \$4,692,003 \$6,336,311 \$4,13,983 \$9,354,296 Millions of yen Accumulated other comprehensive income Accumulated other comprehensive income Accumulated other remeasurements	Issuance of new stock	521	521				
Purchase of treasury stock				, ,			
Disposal of treasury stock	·			703,003	(100.088)	•	
Changes in shareholders' interest due to transaction with non-controlling interests			(250)		, ,	, ,	
with non-controlling interests	· · · · · · · · · · · · · · · · · · ·		(101,673)		101,673	_	
Decrease due to decrease in subsidiaries			(47,565)			(47.565)	
by the equity method			(, , , , , ,	(945)			
Reversal of land revaluation excess				(670)		(670)	
Transfer from retained earnings to capital surplus Net changes in items other than stockholders' equity in the fiscal year Net changes in the fiscal year Net changes in the fiscal year				` ,		, ,	
equity in the fiscal year Net changes in the fiscal year Selection 521 (47,044) 344,064 2,318 299,860 Balance at the end of the fiscal year Year 4,339,964 4 692,003 4,336,311 4 (13,983) 49,354,296 Millions of yen			101,923	, ,		_	
Balance at the end of the fiscal year							
Millions of yen Accumulated other comprehensive income Net unrealized Foreign Accumulated gains (losses) Net deferred Land currency remeasurements			,				
Accumulated other comprehensive income Net unrealized Foreign Accumulated gains (losses) Net deferred Land currency remeasurements	Balance at the end of the fiscal year	¥2,339,964	¥ 692,003	¥6,336,311	¥ (13,983)	¥9,354,296	
Net unrealized Foreign Accumulated gains (losses) Net deferred Land currency remeasurements							
gains (losses) Net deferred Land currency remeasurements		Net unrealized	Acc	umulated other co	<u> </u>		
Year ended March 31, 2020 on other gains (losses) revaluation translation of defined securities on hedges excess adjustments benefit plans Total	Year ended March 31, 2020	gains (losses) on other	gains (losses)	revaluation	currency translation	remeasurements of defined	Total
Balance at the beginning of the fiscal year	,	¥1,688,852	¥ (54,650)	¥36,547	¥ 50,379	¥ (7,244)	¥1,713,884
Changes in the fiscal year Issuance of new stock	,						
Cash dividends							
Profit attributable to owners of parent	·						
Purchase of treasury stock							
Cancellation of treasury stock	•						
Changes in shareholders' interest due to transaction with non-controlling interests	Changes in shareholders' interest due to transaction						
Decrease due to decrease in subsidiaries							
Decrease due to decrease in affiliates accounted for by the equity method							
Reversal of land revaluation excess	Reversal of land revaluation excess						
Transfer from retained earnings to capital surplus	• • • • • • • • • • • • • • • • • • • •						
Net changes in items other than stockholders' equity in the fiscal year		(317.445)	136.907	331	(83.219)	(84.785)	(348.211)
Net changes in the fiscal year					,		
Balance at the end of the fiscal year	Balance at the end of the fiscal year	¥1,371,407	¥ 82,257	¥36,878	¥(32,839)	¥(92,030)	¥1,365,673
Millions of yen Stock Non-		Ctools					
acquisition controlling Total	Very anded Mayer 21, 2000	acquisition	controlling				
Year ended March 31, 2020 rights interests net assets Balance at the beginning of the fiscal year	·						
Changes in the fiscal year		,	,	, ,			
Issuance of new stock				•			
Cash dividends				, ,			
Purchase of treasury stock	•						
Disposal of treasury stock	•			483			
Cancellation of treasury stock — — — — — — — — — — — — — — — —				_			
Changes in shareholders' interest due to transaction with non-controlling interests				(47,565)			
Decrease due to decrease in subsidiaries (945)	Decrease due to decrease in subsidiaries			(945)			
Decrease due to decrease in affiliates accounted for by the equity method				(670)			
by the equity method				1			
Transfer from retained earnings to capital surplus	Transfer from retained earnings to capital surplus			_			
Net changes in items other than stockholders' equity in the fiscal year(2,685) (615,671) (966,568)		(2 695)	(615 671)	(066 569)			
equity in the fiscal year			, ,				
Balance at the end of the fiscal year	· · · · · · · · · · · · · · · · · · ·		, ,				

(Continued)

Year ended March 31, 2021 Balance at the beginning of the fiscal year \$\text{Year}\$ \text{ Capital stock} \text{ Stockholders' equity} \text{ Treasury stock} \text{ Stock of Capital surplus earnings} \text{ \$\text{ Stockholders' equity} \text{ \$\text{ Stockholders' equity} \text{ \$\text{ Treasury stock} \text{ \$\text{ Stockholders' equity} \text{ \$\text{ Stockholders' equity} \$\text{ \$\t	Total	
Year ended March 31, 2021 stock surplus earnings stock Balance at the beginning of the fiscal year	Total	
Balance at the beginning of the fiscal year	33) ¥9,354,296	
nolicios (A4 0 AA)	(44.040)	
policies	(41,849) 33) 9,312,447	
Changes in the fiscal year Issuance of new stock	2,618 (267,143)	
Profit attributable to owners of parent	512,812 61) (61)	
Changes in shareholders' interest due to transaction	17 281	
with non-controlling interests	(106) (48,054)	
Reversal of land revaluation excess	574	
Transfer from retained earnings to capital surplus 65 Net changes in items other than stockholders' 65	_	
equity in the fiscal year	35 200,920	
Balance at the end of the fiscal year		
Millions of yen		
Accumulated other comprehensive	income	
Net unrealized Foreign gains (losses) Net deferred Land currency on other gains (losses) revaluation translation		Table
Year ended March 31, 2021 securities on hedges excess adjustment Balance at the beginning of the fiscal year	<u> </u>	Total ¥1,365,673
Cumulative effects of changes in accounting policies	192,000)	+1,000,070
Restated balance	39) (92,030)	1,365,673
Changes in the fiscal year Issuance of new stock		
Cash dividends		
Profit attributable to owners of parent		
Purchase of treasury stock		
Disposal of treasury stock		
with non-controlling interests		
Decrease due to decrease in affiliates accounted for		
by the equity method		
Reversal of land revaluation excess		
Transfer from retained earnings to capital surplus Net changes in items other than stockholders'		
equity in the fiscal year	29 219,110	947,377
Net changes in the fiscal year		947,377
Balance at the end of the fiscal year	90 ¥127,080	¥2,313,051
Millions of yen		
Stock Non- acquisition controlling Total		
Year ended March 31, 2021 rights interests net assets Balance at the beginning of the fiscal year		
Cumulative effects of changes in accounting policies		
Restated balance		
Issuance of new stock		
Cash dividends		
Purchase of treasury stock		
Disposal of treasury stock		
with non-controlling interests (106)		
Decrease due to decrease in affiliates accounted for		
DV TOP POURTY METROD		
by the equity method		
Reversal of land revaluation excess		
Reversal of land revaluation excess		
Reversal of land revaluation excess		

(Continued)

	Millions of U.S. dollars					
	Capital	St Capital	ockholders' equit Retained	y Treasury		
Year ended March 31, 2021	stock	surplus	earnings	stock	Total	
Balance at the beginning of the fiscal year	\$21,136	\$6,251	\$57,233	\$(126)	\$84,494	
Cumulative effects of changes in accounting policies			(378)		(378)	
Restated balance	21,136	6,251	56,855	(126)	84,116	
Changes in the fiscal year	40	40			0.4	
Issuance of new stock Cash dividends	12	12	(2,413)		24 (2,413)	
Profit attributable to owners of parent			4,632		4,632	
Purchase of treasury stock			•	(1)	(1)	
Disposal of treasury stock		(1)		3	3	
Changes in shareholders' interest due to transaction with non-controlling interests		(1)			(1)	
Decrease due to decrease in affiliates accounted for		(-7			(-)	
by the equity method			(434)		(434)	
Reversal of land revaluation excess Transfer from retained earnings to capital surplus		1	5 (1)		5	
Net changes in items other than stockholders'		•	(-)			
equity in the fiscal year						
Net changes in the fiscal year		11 \$6,261	1,790 \$58,645	3 \$(124)	1,815 \$85,931	
Balance at the end of the fiscal year	Ψ21,140	ψ0,201		, ,	ψ00,901	
		A 0.01	Millions of U imulated other co			
	Net unrealized	ACCO	imulated other co	Foreign	Accumulated	
Year ended March 31, 2021	gains (losses) on other securities	Net deferred gains (losses) on hedges	Land revaluation excess	currency translation adjustments	remeasurements of defined benefit plans	Total
Balance at the beginning of the fiscal year	\$12,387	\$ 743	\$333	\$(297)	\$ (831)	\$12,336
Cumulative effects of changes in accounting						
policies	12,387	743	333	(297)	(831)	12,336
Changes in the fiscal year	-,			(==-)	()	,
Issuance of new stock						
Cash dividends Profit attributable to owners of parent						
Purchase of treasury stock						
Disposal of treasury stock						
Changes in shareholders' interest due to transaction with non-controlling interests						
Decrease due to decrease in affiliates accounted for						
by the equity method						
Reversal of land revaluation excess Transfer from retained earnings to capital surplus						
Net changes in items other than stockholders'						
equity in the fiscal year		(610)	(6)	661	1,979	8,557
Net changes in the fiscal year	6,532	(610)	(6)	661	1,979	8,557
Balance at the end of the fiscal year		\$ 133	\$327	\$ 365	\$1,148	\$20,893
	Stock	lions of U.S. dollar	ars			
V 1 1M 1 24 2224	acquisition	controlling	Total			
Year ended March 31, 2021 Balance at the beginning of the fiscal year	rights \$19	interests \$568	net assets \$ 97,416			
Cumulative effects of changes in accounting	Ψ10	φοσο	Ψ 07,410			
policies			(378)			
Restated balance	19	568	97,038			
Issuance of new stock			24			
Cash dividends			(2,413)			
Profit attributable to owners of parent			4,632			
Purchase of treasury stock Disposal of treasury stock			(1)			
Changes in shareholders' interest due to transaction with non-controlling interests			(1)			
Decrease due to decrease in affiliates accounted for						
by the equity method Reversal of land revaluation excess			(434) 5			
Transfer from retained earnings to capital surplus			_			
Net changes in items other than stockholders'						
equity in the fiscal year		72	8,627			
Net changes in the fiscal year Balance at the end of the fiscal year		72 \$640	10,442 \$107,479			
	Ψ.0	Ψ0.0	Ų ,			