

# Securities (Non-consolidated)

Sumitomo Mitsui Banking Corporation

## Balance of Securities

### Year-End Balance

March 31	Millions of yen	
	2021	2020
Domestic operations:		
Japanese government bonds.....	¥14,288,609	¥ 7,083,933
Japanese local government bonds .....	754,922	262,681
Japanese corporate bonds .....	2,549,958	2,654,105
Japanese stocks.....	3,972,906	3,057,077
Others .....	860,099	526,499
Foreign bonds.....	/	/
Foreign stocks .....	/	/
Subtotal .....	¥22,426,496	¥13,584,298
International operations:		
Japanese government bonds.....	¥ —	¥ —
Japanese local government bonds .....	—	—
Japanese corporate bonds .....	—	—
Japanese stocks.....	—	—
Others .....	14,060,729	13,474,334
Foreign bonds.....	9,516,268	9,253,087
Foreign stocks .....	4,544,460	4,221,247
Subtotal .....	¥14,060,729	¥13,474,334
Total .....	¥36,487,225	¥27,058,633

### Average Balance

Year ended March 31	Millions of yen	
	2021	2020
Domestic operations:		
Japanese government bonds.....	¥11,501,379	¥ 7,252,028
Japanese local government bonds .....	537,735	162,752
Japanese corporate bonds .....	2,604,731	2,686,829
Japanese stocks.....	1,870,153	2,007,411
Others .....	557,709	743,730
Foreign bonds.....	/	/
Foreign stocks .....	/	/
Subtotal .....	¥17,071,710	¥12,852,752
International operations:		
Japanese government bonds.....	¥ —	¥ —
Japanese local government bonds .....	—	—
Japanese corporate bonds .....	—	—
Japanese stocks.....	—	—
Others .....	12,745,500	11,370,094
Foreign bonds.....	8,413,523	7,502,214
Foreign stocks .....	4,331,976	3,867,879
Subtotal .....	¥12,745,500	¥11,370,094
Total .....	¥29,817,211	¥24,222,846

Note: The average balance of foreign-currency-denominated transactions by domestic branches in international operations is calculated by the monthly current method.

## Balance of Securities Held, Classified by Maturity

March 31	Millions of yen	
	2021	2020
One year or less		
Japanese government bonds.....	¥ 5,896,802	¥ 3,387,319
Japanese local government bonds .....	7,169	2,921
Japanese corporate bonds .....	174,067	160,177
Others.....	2,128,386	2,210,080
Foreign bonds.....	2,092,218	2,206,579
Foreign stocks .....	613	380
One — three years		
Japanese government bonds.....	5,222,495	2,689,510
Japanese local government bonds .....	18,370	7,266
Japanese corporate bonds .....	505,456	547,895
Others.....	1,993,212	955,459
Foreign bonds.....	1,983,993	920,199
Foreign stocks .....	980	481
Three — five years		
Japanese government bonds.....	1,448,977	101,020
Japanese local government bonds .....	188,307	37,691
Japanese corporate bonds .....	876,434	660,686
Others.....	1,831,145	1,312,431
Foreign bonds.....	1,808,062	1,240,586
Foreign stocks .....	9,611	4,851
Five — seven years		
Japanese government bonds.....	1,079,083	—
Japanese local government bonds .....	43,068	6,146
Japanese corporate bonds .....	269,366	529,884
Others.....	1,174,266	725,098
Foreign bonds.....	1,152,707	695,251
Foreign stocks .....	4,985	9,030
Seven — ten years		
Japanese government bonds.....	339,437	454,732
Japanese local government bonds .....	485,824	195,889
Japanese corporate bonds .....	195,023	224,384
Others.....	717,099	1,109,262
Foreign bonds.....	517,034	1,061,245
Foreign stocks .....	6,625	6,216
More than ten years		
Japanese government bonds.....	301,812	451,350
Japanese local government bonds .....	12,181	12,765
Japanese corporate bonds .....	529,608	531,076
Others.....	2,366,433	3,398,949
Foreign bonds.....	1,962,251	3,129,224
Foreign stocks .....	238,047	184,026
No designated term		
Japanese government bonds.....	—	—
Japanese local government bonds .....	—	—
Japanese corporate bonds .....	—	—
Japanese stocks.....	3,972,906	3,057,077
Others.....	4,710,284	4,289,551
Foreign bonds.....	—	—
Foreign stocks .....	4,283,598	4,016,259
Total		
Japanese government bonds.....	¥14,288,609	¥ 7,083,933
Japanese local government bonds .....	754,922	262,681
Japanese corporate bonds .....	2,549,958	2,654,105
Japanese stocks.....	3,972,906	3,057,077
Others.....	14,920,828	14,000,834
Foreign bonds.....	9,516,268	9,253,087
Foreign stocks .....	4,544,460	4,221,247