

# Basel III Information

## Capital Ratio and Leverage Ratio Information (Consolidated)

Sumitomo Mitsui Banking Corporation and Subsidiaries

### ■ CC1: Composition of regulatory capital

(Millions of yen, except percentages)

Basel III Template No.	Items	a	b	c
		As of March 31,2023	As of March 31,2022	Reference to Template CC2
<b>Common Equity Tier 1 capital: instruments and reserves (1)</b>				
1a+2-1c-26	Directly issued qualifying common share capital plus related capital surplus and retained earnings	7,694,942	7,351,294	
1a	of which: capital and capital surplus	3,526,676	3,527,198	
2	of which: retained earnings	4,239,771	3,867,551	
1c	of which: treasury stock (-)	—	—	
26	of which: national specific regulatory adjustments (earnings to be distributed) (-)	71,505	43,455	
	of which: other than the above	—	—	
1b	Stock acquisition rights to common shares	—	—	
3	Accumulated other comprehensive income and other disclosed reserves	1,821,091	1,695,697	(a)
5	Common share capital issued by subsidiaries and held by third parties (amount allowed in group CET1)	1,404	1,231	
6	Common Equity Tier 1 capital: instruments and reserves (A)	9,517,439	9,048,223	
<b>Common Equity Tier 1 capital: regulatory adjustments (2)</b>				
8+9	Total intangible assets (net of related tax liability, excluding those relating to mortgage servicing rights)	254,563	228,199	
8	of which: goodwill (including those equivalent)	3,801	6,003	
9	of which: other intangibles other than goodwill and mortgage servicing rights	250,761	222,195	
10	Deferred tax assets that rely on future profitability excluding those arising from temporary differences (net of related tax liability)	1,292	4,452	
11	Net deferred gains or losses on hedges	(77,631)	(73,356)	
12	Shortfall of eligible provisions to expected losses	—	—	
13	Securitisation gain on sale	52,939	56,744	
14	Gains and losses due to changes in own credit risk on fair valued liabilities	45,592	22,099	
15	Net defined benefit asset	485,094	427,347	
16	Investments in own shares (excluding those reported in the Net assets section)	—	—	
17	Reciprocal cross-holdings in common equity	—	—	
18	Investments in the capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation, net of eligible short positions, where the bank does not own more than 10% of the issued share capital (amount above the 10% threshold)	—	—	
19+20+21	Amount exceeding the 10% threshold on specified items	—	—	
19	of which: significant investments in the common stock of financials	—	—	
20	of which: mortgage servicing rights	—	—	
21	of which: deferred tax assets arising from temporary differences (net of related tax liability)	—	—	
22	Amount exceeding the 15% threshold on specified items	—	—	
23	of which: significant investments in the common stock of financials	—	—	
24	of which: mortgage servicing rights	—	—	
25	of which: deferred tax assets arising from temporary differences (net of related tax liability)	—	—	
27	Regulatory adjustments applied to Common Equity Tier 1 due to insufficient Additional Tier 1 and Tier 2 to cover deductions	—	—	
28	Common Equity Tier 1 capital: regulatory adjustments (B)	761,851	665,487	
<b>Common Equity Tier 1 capital (CET1)</b>				
29	Common Equity Tier 1 capital (CET1) ((A)-(B)) (C)	8,755,588	8,382,735	

(Millions of yen, except percentages)

Basel III Template No.	Items	a	b	c	
		As of March 31,2023	As of March 31,2022	Reference to Template CC2	
<b>Additional Tier 1 capital: instruments (3)</b>					
30	31a	Directly issued qualifying Additional Tier 1 instruments plus related capital surplus of which: classified as equity under applicable accounting standards and the breakdown	—	—	
	31b	Stock acquisition rights to Additional Tier 1 instruments	—	—	
	32	Directly issued qualifying Additional Tier 1 instruments plus related capital surplus of which: classified as liabilities under applicable accounting standards	1,267,000	1,235,000	
		Qualifying Additional Tier 1 instruments plus related capital surplus issued by special purpose vehicles and other equivalent entities	—	—	
34-35	Additional Tier 1 instruments issued by subsidiaries and held by third parties (amount allowed in group AT1)	23,597	20,588		
33+35	Eligible Tier 1 capital instruments subject to transitional arrangements included in Additional Tier 1 capital: instruments	—	—		
33	of which: instruments issued by banks and their special purpose vehicles	—	—		
35	of which: instruments issued by subsidiaries (excluding banks' special purpose vehicles)	—	—		
36	Additional Tier 1 capital: instruments (D)	1,290,597	1,255,588		
<b>Additional Tier 1 capital: regulatory adjustments</b>					
37	Investments in own Additional Tier 1 instruments	—	—		
38	Reciprocal cross-holdings in Additional Tier 1 instruments	—	—		
39	Investments in the capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation, net of eligible short positions, where the bank does not own more than 10% of the issued common share capital of the entity (amount above the 10% threshold)	—	—		
40	Significant investments in the Additional Tier 1 capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation (net of eligible short positions)	82,978	25,525		
42	Regulatory adjustments applied to Additional Tier 1 due to insufficient Tier 2 to cover deductions	—	—		
43	Additional Tier 1 capital: regulatory adjustments (E)	82,978	25,525		
<b>Additional Tier 1 capital (AT1)</b>					
44	Additional Tier 1 capital ((D)-(E)) (F)	1,207,618	1,230,062		
<b>Tier 1 capital (T1 = CET1 + AT1)</b>					
45	Tier 1 capital (T1 = CET1 + AT1) ((C)+(F)) (G)	9,963,206	9,612,798		
<b>Tier 2 capital: instruments and provisions (4)</b>					
46		Directly issued qualifying Tier 2 instruments plus related capital surplus of which: classified as equity under applicable accounting standards and the breakdown	—	—	
		Stock acquisition rights to Tier 2 instruments	—	—	
		Directly issued qualifying Tier 2 instruments plus related capital surplus of which: classified as liabilities under applicable accounting standards	766,614	753,772	
		Qualifying Tier 2 instruments plus related capital surplus issued by special purpose vehicles and other equivalent entities	—	—	
48-49	Tier 2 instruments issued by subsidiaries and held by third parties (amount allowed in group T2)	4,491	4,365		
47+49	Eligible Tier 2 capital instruments subject to transitional arrangements included in Tier 2: instruments and provisions	—	—		
47	of which: instruments issued by banks and their special purpose vehicles	—	—		
49	of which: instruments issued by subsidiaries (excluding banks' special purpose vehicles)	—	—		
50	Total of general reserve for possible loan losses and eligible provisions included in Tier 2	108,065	102,903		
50a	of which: general reserve for possible loan losses	17,293	11,647		
50b	of which: eligible provisions	90,771	91,255		
51	Tier 2 capital: instruments and provisions (H)	879,171	861,041		

(Millions of yen, except percentages)

Basel III Template No.	Items		a	b	c
			As of March 31,2023	As of March 31,2022	Reference to Template CC2
<b>Tier 2 capital: regulatory adjustments (5)</b>					
52	Investments in own Tier 2 instruments		—	—	
53	Reciprocal cross-holdings in Tier 2 instruments and other TLAC liabilities		—	—	
54	Investments in the capital and other TLAC liabilities of banking, financial and insurance entities that are outside the scope of regulatory consolidation, net of eligible short positions, where the bank does not own more than 10% of the issued common share capital of the entity (amount above the 10% threshold)		—	—	
55	Significant investments in the capital and other TLAC liabilities of banking, financial and insurance entities that are outside the scope of regulatory consolidation (net of eligible short positions)		40,062	36,723	
57	Tier 2 capital: regulatory adjustments	(I)	40,062	36,723	
<b>Tier 2 capital (T2)</b>					
58	Tier 2 capital (T2) ((H)-(I))	(J)	839,109	824,318	
<b>Total capital (TC = T1 + T2)</b>					
59	Total capital (TC = T1 + T2) ((G)+(J))	(K)	10,802,315	10,437,117	
<b>Risk weighted assets (6)</b>					
60	Total risk-weighted assets (RWA)	(L)	70,401,996	66,120,492	
<b>Capital ratios (consolidated) (7)</b>					
61	Common Equity Tier 1 risk-weighted capital ratio (consolidated) ((C)/(L))		12.43%	12.67%	
62	Tier 1 risk-weighted capital ratio (consolidated) ((G)/(L))		14.15%	14.53%	
63	Total risk-weighted capital ratio (consolidated) ((K)/(L))		15.34%	15.78%	
<b>Regulatory adjustments (8)</b>					
72	Non-significant investments in the capital and other TLAC liabilities of other financials that are below the thresholds for deduction (before risk weighting)		532,660	623,375	
73	Significant investments in the common stock of other financials that are below the thresholds for deduction (before risk weighting)		597,865	534,426	
74	Mortgage servicing rights that are below the thresholds for deduction (before risk weighting)		—	—	
75	Deferred tax assets arising from temporary differences that are below the thresholds for deduction (before risk weighting)		48,842	27,386	
<b>Provisions included in Tier 2 capital: instruments and provisions (9)</b>					
76	Provisions (general reserve for possible loan losses)		17,293	11,647	
77	Cap on inclusion of provisions (general reserve for possible loan losses)		30,464	23,965	
78	Provisions eligible for inclusion in Tier 2 in respect of exposures subject to internal ratings-based approach (prior to application of cap) (if the amount is negative, report as "nil")		90,771	91,255	
79	Cap for inclusion of provisions in Tier 2 under internal ratings-based approach		296,379	292,945	
<b>Capital instruments subject to transitional arrangements (10)</b>					
82	Current cap on AT1 instruments subject to transitional arrangements		—	—	
83	Amount excluded from AT1 due to cap (excess over cap after redemptions and maturities) (if the amount is negative, report as "nil")		—	—	
84	Current cap on T2 instruments subject to transitional arrangements		—	—	
85	Amount excluded from T2 due to cap (excess over cap after redemptions and maturities) (if the amount is negative, report as "nil")		—	—	

(Millions of yen)

Items	As of March 31,2023	As of March 31,2022
Required capital ((L) × 8%)	5,632,159	5,289,639

## ■ CC2: Reconciliation of regulatory capital to balance sheet

Sumitomo Mitsui Banking Corporation and Subsidiaries

(Millions of yen)

Items	a		b	c
	Consolidated balance sheet as in published financial statements		Reference to Template CC1	Reference to appended table
	As of March 31, 2023	As of March 31, 2022		
(Assets)				
Cash and due from banks	73,403,912	72,742,334		
Call loans and bills bought	6,374,812	3,265,134		
Receivables under resale agreements	4,603,145	3,856,984		
Receivables under securities borrowing transactions	1,612,415	1,874,221		
Monetary claims bought	5,549,887	5,363,980		
Trading assets	4,084,755	3,780,424		6-a
Money held in trust	0	0		
Securities	32,074,167	37,465,859		2-b,6-b
Loans and bills discounted	99,823,911	92,472,845		6-c
Foreign exchanges	1,940,736	2,799,157		
Lease receivables and investment assets	226,302	228,608		
Other assets	8,753,186	6,312,402		6-d
Tangible fixed assets	1,337,805	1,297,011		
Intangible fixed assets	354,939	314,145		2-a
Net defined benefit asset	698,974	616,206		3
Deferred tax assets	57,428	52,543		4-a
Customers' liabilities for acceptances and guarantees	12,278,891	10,342,818		
Reserve for possible loan losses	(607,747)	(678,743)		
<b>Total assets</b>	<b>252,567,523</b>	<b>242,105,934</b>		
(Liabilities)				
Deposits	159,251,139	149,249,696		
Negotiable certificates of deposit	13,252,060	13,460,296		
Call money and bills sold	786,055	704,999		
Payables under repurchase agreements	14,194,027	16,350,836		
Payables under securities lending transactions	438,094	305,779		
Commercial paper	2,320,969	1,856,909		
Trading liabilities	4,234,268	2,788,884		6-e
Borrowed money	22,246,521	26,887,509		8-a
Foreign exchanges	1,496,765	1,265,002		
Bonds	681,821	812,303		8-b
Due to trust account	2,413,464	2,443,873		
Other liabilities	8,811,706	5,980,727		6-f
Reserve for employee bonuses	52,102	44,526		
Reserve for executive bonuses	1,623	1,497		
Net defined benefit liability	6,367	10,985		
Reserve for executive retirement benefits	596	580		
Reserve for point service program	1,140	870		
Reserve for reimbursement of deposits	10,845	5,767		
Deferred tax liabilities	325,598	343,017		4-b
Deferred tax liabilities for land revaluation	27,952	29,193		4-c
Acceptances and guarantees	12,278,891	10,342,818		
<b>Total liabilities</b>	<b>242,832,013</b>	<b>232,886,075</b>		
(Net assets)				
Capital stock	1,770,996	1,770,996		1-a
Capital surplus	1,965,682	1,966,205		1-b
Retained earnings	4,239,771	3,867,551		1-c
Treasury stock	(210,003)	(210,003)		1-d
<b>Total stockholders' equity</b>	<b>7,766,447</b>	<b>7,394,750</b>		
Net unrealized gains or losses on other securities	972,941	1,253,370		
Net deferred gains or losses on hedges	(15,964)	(74,044)		5
Land revaluation excess	35,005	36,320		
Foreign currency translation adjustments	697,887	361,502		
Accumulated remeasurements of defined benefit plans	131,222	118,548		
<b>Total accumulated other comprehensive income</b>	<b>1,821,091</b>	<b>1,695,697</b>	(a)	
Non-controlling interests	147,969	129,411		7-b
<b>Total net assets</b>	<b>9,735,509</b>	<b>9,219,858</b>		
<b>Total liabilities and net assets</b>	<b>252,567,523</b>	<b>242,105,934</b>		

Note: The regulatory scope of consolidation is the same as the accounting scope of consolidation.

(Appended Table)

## 1. Stockholders' equity

## (1) Consolidated balance sheet

(Millions of yen)

Consolidated balance sheet items	As of March 31, 2023	As of March 31, 2022	Remarks	Ref. No.
Capital stock	1,770,996	1,770,996	Including eligible Tier 1 capital instruments subject to transitional arrangement	1-a
Capital surplus	1,965,682	1,966,205	Including eligible Tier 1 capital instruments subject to transitional arrangement	1-b
Retained earnings	4,239,771	3,867,551		1-c
Treasury stock	(210,003)	(210,003)	Eligible Tier 1 capital instruments subject to transitional arrangement	1-d
<b>Total stockholders' equity</b>	<b>7,766,447</b>	<b>7,394,750</b>		

## (2) Composition of capital

(Millions of yen)

Composition of capital disclosure	As of March 31, 2023	As of March 31, 2022	Remarks	Basel III Template No.
Directly issued qualifying common share capital plus related capital surplus and retained earnings	7,766,447	7,394,750	Stockholders' equity attributable to common shares (before adjusting national specific regulatory adjustments (earnings to be distributed))	
of which: capital and capital surplus	3,526,676	3,527,198		1a
of which: retained earnings	4,239,771	3,867,551		2
of which: treasury stock (-)	-	-		1c
of which: other than the above	-	-		
Directly issued qualifying Additional Tier 1 instruments plus related capital surplus of which: classified as equity under applicable accounting standards and the breakdown	-	-	Stockholders' equity attributable to preferred shares with a loss absorbency clause upon entering into effectively bankruptcy	31a

## 2. Intangible fixed assets

## (1) Consolidated balance sheet

(Millions of yen)

Consolidated balance sheet items	As of March 31, 2023	As of March 31, 2022	Remarks	Ref. No.
Intangible fixed assets	354,939	314,145		2-a
Securities	32,074,167	37,465,859		2-b
of which: goodwill attributable to equity-method investees	3,801	6,003		
Income taxes related to above	104,177	91,950		

## (2) Composition of capital

(Millions of yen)

Composition of capital disclosure	As of March 31, 2023	As of March 31, 2022	Remarks	Basel III Template No.
Goodwill (including those equivalent)	3,801	6,003		8
Other intangibles other than goodwill and mortgage servicing rights	250,761	222,195	Software and other	9
Mortgage servicing rights	-	-		
Amount exceeding the 10% threshold on specified items	-	-		20
Amount exceeding the 15% threshold on specified items	-	-		24
Mortgage servicing rights that are below the thresholds for deduction (before risk weighting)	-	-		74

## 3. Net defined benefit asset

## (1) Consolidated balance sheet

(Millions of yen)

Consolidated balance sheet items	As of March 31, 2023	As of March 31, 2022	Remarks	Ref. No.
Net defined benefit asset	698,974	616,206		3
Income taxes related to above	213,879	188,858		

## (2) Composition of capital

(Millions of yen)

Composition of capital disclosure	As of March 31, 2023	As of March 31, 2022	Remarks	Basel III Template No.
Net defined benefit asset	485,094	427,347		15

## 4. Deferred tax assets

## (1) Consolidated balance sheet

(Millions of yen)

Consolidated balance sheet items	As of March 31, 2023	As of March 31, 2022	Remarks	Ref. No.
Deferred tax assets	57,428	52,543		4-a
Deferred tax liabilities	325,598	343,017		4-b
Deferred tax liabilities for land revaluation	27,952	29,193		4-c

Tax effects on intangible fixed assets	104,177	91,950	
Tax effects on net defined benefit asset	213,879	188,858	

## (2) Composition of capital

(Millions of yen)

Composition of capital disclosure	As of March 31, 2023	As of March 31, 2022	Remarks	Basel III Template No.
Deferred tax assets that rely on future profitability excluding those arising from temporary differences (net of related tax liability)	1,292	4,452	This item does not agree with the amount reported on the consolidated balance sheet due to offsetting of assets and liabilities.	10
Deferred tax assets arising from temporary differences (net of related tax liability)	48,842	27,386	This item does not agree with the amount reported on the consolidated balance sheet due to offsetting of assets and liabilities.	
Amount exceeding the 10% threshold on specified items	—	—		21
Amount exceeding the 15% threshold on specified items	—	—		25
Deferred tax assets arising from temporary differences that are below the thresholds for deduction (before risk weighting)	48,842	27,386		75

## 5. Deferred gains or losses on derivatives under hedge accounting

## (1) Consolidated balance sheet

(Millions of yen)

Consolidated balance sheet items	As of March 31, 2023	As of March 31, 2022	Remarks	Ref. No.
Net deferred gains or losses on hedges	(15,964)	(74,044)		5

## (2) Composition of capital

(Millions of yen)

Composition of capital disclosure	As of March 31, 2023	As of March 31, 2022	Remarks	Basel III Template No.
Net deferred gains or losses on hedges	(77,631)	(73,356)	Excluding those items whose valuation differences arising from hedged items are recognized as "Accumulated other comprehensive income"	11

## 6. Items associated with investments in the capital of financial institutions

## (1) Consolidated balance sheet

(Millions of yen)

Consolidated balance sheet items	As of March 31, 2023	As of March 31, 2022	Remarks	Ref. No.
Trading assets	4,084,755	3,780,424	Including trading account securities and derivatives for trading assets	6-a
Securities	32,074,167	37,465,859		6-b
Loans and bills discounted	99,823,911	92,472,845	Including subordinated loans	6-c
Other assets	8,753,186	6,312,402	Including derivatives	6-d
Trading liabilities	4,234,268	2,788,884	Including trading account securities sold and derivatives for trading liabilities	6-e
Other liabilities	8,811,706	5,980,727	Including derivatives	6-f

## (2) Composition of capital

(Millions of yen)

Composition of capital disclosure	As of March 31, 2023	As of March 31, 2022	Remarks	Basel III Template No.
Investments in own capital instruments	—	—		
Common Equity Tier 1 capital	—	—		16
Additional Tier 1 capital	—	—		37
Tier 2 capital	—	—		52
Reciprocal cross-holdings in the capital of banking, financial and insurance entities	—	—		
Common Equity Tier 1 capital	—	—		17
Additional Tier 1 capital	—	—		38
Tier 2 capital and other TLAC liabilities	—	—		53
Investments in the capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation, net of eligible short positions, where the bank does not own more than 10% of the issued share capital (amount above the 10% threshold)	532,660	623,375		
Common Equity Tier 1 capital	—	—		18
Additional Tier 1 capital	—	—		39
Tier 2 capital and other TLAC liabilities	—	—		54
Non-significant investments in the capital and other TLAC liabilities of other financials that are below the thresholds for deductions (before risk weighting)	532,660	623,375		72
Significant investments in the capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation (net of eligible short positions)	720,906	596,675		
Amount exceeding the 10% threshold on specified items	—	—		19
Amount exceeding the 15% threshold on specified items	—	—		23
Additional Tier 1 capital	82,978	25,525		40
Tier 2 capital and other TLAC liabilities	40,062	36,723		55
Significant investments in the common stock of other financials that are below the thresholds for deductions (before risk weighting)	597,865	534,426		73

## 7. Non-controlling interests

## (1) Consolidated balance sheet

(Millions of yen)

Consolidated balance sheet items	As of March 31, 2023	As of March 31, 2022	Remarks	Ref. No.
Non-controlling interests	147,969	129,411		7-b

## (2) Composition of capital

(Millions of yen)

Composition of capital disclosure	As of March 31, 2023	As of March 31, 2022	Remarks	Basel III Template No.
Amount allowed in group CET1	1,404	1,231	After reflecting amounts eligible for inclusion (Non-Controlling Interest after adjustments)	5
Qualifying Additional Tier 1 instruments plus related capital surplus issued by special purpose vehicles and other equivalent entities	—	—	After reflecting amounts eligible for inclusion (Non-Controlling Interest after adjustments)	30-31ab-32
Amount allowed in group AT1	23,597	20,588	After reflecting amounts eligible for inclusion (Non-Controlling Interest after adjustments)	34-35
Qualifying Tier 2 instruments plus related capital surplus issued by special purpose vehicles and other equivalent entities	—	—	After reflecting amounts eligible for inclusion (Non-Controlling Interest after adjustments)	46
Amount allowed in group T2	4,491	4,365	After reflecting amounts eligible for inclusion (Non-Controlling Interest after adjustments)	48-49

## 8. Other capital instruments

## (1) Consolidated balance sheet

(Millions of yen)

Consolidated balance sheet items	As of March 31, 2023	As of March 31, 2022	Remarks	Ref. No.
Borrowed money	22,246,521	26,887,509		8-a
Bonds	681,821	812,303		8-b
Total	22,928,343	27,699,812		

## (2) Composition of capital

(Millions of yen)

Composition of capital disclosure	As of March 31, 2023	As of March 31, 2022	Remarks	Basel III Template No.
Directly issued qualifying Additional Tier 1 instruments plus related capital surplus of which: classified as liabilities under applicable accounting standards	1,267,000	1,235,000		32
Directly issued qualifying Tier 2 instruments plus related capital surplus of which: classified as liabilities under applicable accounting standards	766,614	753,772		46



## ■ Composition of Leverage Ratio

(In million yen, %)

Corresponding line # on Basel III disclosure template (Table2)	Corresponding line # on Basel III disclosure template (Table1)	Items	As of March 31, 2023	As of March 31, 2022
<b>On-balance sheet exposures (1)</b>				
1		On-balance sheet exposures before deducting adjustment items	166,362,969	159,674,384
1a	1	Total assets reported in the consolidated balance sheet	193,518,055	182,384,803
1b	2	The amount of assets of subsidiaries that are not included in the scope of the leverage ratio on a consolidated basis (-)	—	—
1c	7	The amount of assets of subsidiaries that are included in the scope of the leverage ratio on a consolidated basis (except those included in the total assets reported in the consolidated balance sheet)	—	—
1d	3	The amount of assets that are deducted from the total assets reported in the consolidated balance sheet (except adjustment items) (-)	27,155,086	22,710,418
2	7	The amount of adjustment items pertaining to Tier 1 capital (-)	823,929	685,525
3		Total on-balance sheet exposures (a)	165,539,040	158,988,858
<b>Exposures related to derivative transactions (2)</b>				
4		Replacement cost associated with derivatives transactions, etc. (with the 1.4 alpha factor applied)		
		Replacement cost associated with derivatives transactions, etc.	4,065,961	3,052,855
5		Add-on amount for potential future exposure associated with derivatives transactions, etc. (with the 1.4 alpha factor applied)		
		Add-on amount associated with derivatives transactions, etc.	4,495,701	3,989,441
		The amount of receivables arising from providing cash margin in relation to derivatives transactions, etc.	864,127	975,368
6		The amount of receivables arising from providing collateral, provided where deducted from the consolidated balance sheet pursuant to the operative accounting framework		
		The amount of receivables arising from providing cash margin, provided where deducted from the consolidated balance sheet pursuant to the operative accounting framework	—	—
7		The amount of deductions of receivables (out of those arising from providing cash variation margin) (-)	145,156	465,971
8		The amount of client-cleared trade exposures for which a bank acting as clearing member is not obliged to make any indemnification (-)		
9		Adjusted effective notional amount of written credit derivatives	73,650	44,354
10		The amount of deductions from effective notional amount of written credit derivatives (-)	—	—
11	4	Total exposures related to derivative transactions (b)	9,354,284	7,596,048
<b>Exposures related to repo transactions (3)</b>				
12		The amount of assets related to repo transactions, etc.	6,215,561	5,731,206
13		The amount of deductions from the assets above (line 12) (-)	—	—
14		The exposures for counterparty credit risk for repo transactions, etc.	299,578	251,370
15		The exposures for agent repo transaction		
16	5	Total exposures related to repo transactions, etc. (c)	6,515,139	5,982,576
<b>Exposures related to off-balance sheet transactions (4)</b>				
17		Notional amount of off-balance sheet transactions	75,774,180	69,458,000
18		The amount of adjustments for conversion in relation to off-balance sheet transactions (-)	45,111,909	42,604,277
19	6	Total exposures related to off-balance sheet transactions (d)	30,662,270	26,853,722
<b>Leverage ratio on a consolidated basis (5)</b>				
20		The amount of capital (Tier 1 capital) (e)	9,963,206	9,612,798
21	8	Total exposures ((a)+(b)+(c)+(d)) (f)	212,070,734	199,421,206
22		Leverage ratio on a consolidated basis ((e)/(f))	4.69%	4.82%
		Minimum leverage ratio requirement	3.00%	3.00%
<b>Leverage ratio on a consolidated basis (including deposits with the Bank of Japan) (6)</b>				
		Total exposures (f)	212,070,734	199,421,206
		The amount of deposits with the Bank of Japan	59,049,467	59,721,131
		Total exposures (including deposits with the Bank of Japan) (f')	271,120,202	259,142,337
		Leverage ratio on a consolidated basis (including deposits with the Bank of Japan) ((e)/(f'))	3.67%	3.70%