

# Basel III Information

## Capital Ratio and Leverage Ratio Information (Consolidated)

Sumitomo Mitsui Banking Corporation and Subsidiaries

### ■ CC1: Composition of regulatory capital

(Millions of yen, except percentages)

Basel III Template No.	Items	a	b	c
		As of March 31,2024	As of March 31,2023	Reference to Template CC2
Common Equity Tier 1 capital: instruments and reserves (1)				
1a+2-1c-26	Directly issued qualifying common share capital plus related capital surplus and retained earnings	7,815,032	7,694,942	
1a	of which: capital and capital surplus	3,538,331	3,526,676	
2	of which: retained earnings	4,598,846	4,239,771	
1c	of which: treasury stock (-)	—	—	
26	of which: national specific regulatory adjustments (earnings to be distributed) (-)	322,145	71,505	
	of which: other than the above	—	—	
1b	Stock subscription rights and stock acquisition rights to common shares	—	—	
3	Accumulated other comprehensive income and other disclosed reserves	3,154,871	1,821,091	(a)
5	Common share capital issued by subsidiaries and held by third parties (amount allowed in group CET1)	2,135	1,404	
6	Common Equity Tier 1 capital: instruments and reserves (A)	10,972,039	9,517,439	
Common Equity Tier 1 capital: regulatory adjustments (2)				
8+9	Total intangible assets (net of related tax liability, excluding those relating to mortgage servicing rights)	395,920	254,563	
8	of which: goodwill (including those equivalent)	99,142	3,801	
9	of which: other intangibles other than goodwill and mortgage servicing rights	296,777	250,761	
10	Deferred tax assets that rely on future profitability excluding those arising from temporary differences (net of related tax liability)	3,949	1,292	
11	Net deferred gains or losses on hedges	(146,062)	(77,631)	
12	Shortfall of eligible provisions to expected losses	—	—	
13	Securitisation gain on sale	47,724	52,939	
14	Gains and losses due to changes in own credit risk on fair valued liabilities	23,464	45,592	
15	Net defined benefit asset	625,498	485,094	
16	Investments in own shares (excluding those reported in the Net assets section)	—	—	
17	Reciprocal cross-holdings in common equity	—	—	
18	Investments in the capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation, net of eligible short positions, where the bank does not own more than 10% of the issued share capital (amount above the 10% threshold)	—	—	
19+20+21	Amount exceeding the 10% threshold on specified items	—	—	
19	of which: significant investments in the common stock of financials	—	—	
20	of which: mortgage servicing rights	—	—	
21	of which: deferred tax assets arising from temporary differences (net of related tax liability)	—	—	
22	Amount exceeding the 15% threshold on specified items	—	—	
23	of which: significant investments in the common stock of financials	—	—	
24	of which: mortgage servicing rights	—	—	
25	of which: deferred tax assets arising from temporary differences (net of related tax liability)	—	—	
27	Regulatory adjustments applied to Common Equity Tier 1 due to insufficient Additional Tier 1 and Tier 2 to cover deductions	—	—	
28	Common Equity Tier 1 capital: regulatory adjustments (B)	950,493	761,851	
Common Equity Tier 1 capital (CET1)				
29	Common Equity Tier 1 capital (CET1) ((A)-(B)) (C)	10,021,546	8,755,588	

(Millions of yen, except percentages)

Basel III Template No.	Items	a	b	c
		As of March 31,2024	As of March 31,2023	Reference to Template CC2
Additional Tier 1 capital: instruments (3)				
30	31a	Directly issued qualifying Additional Tier 1 instruments plus related capital surplus of which: classified as equity under applicable accounting standards and the breakdown	—	—
	31b	Stock subscription rights and stock acquisition rights to Additional Tier 1 instruments	—	—
	32	Directly issued qualifying Additional Tier 1 instruments plus related capital surplus of which: classified as liabilities under applicable accounting standards	1,967,330	1,267,000
		Qualifying Additional Tier 1 instruments plus related capital surplus issued by special purpose vehicles and other equivalent entities	—	—
34	Additional Tier 1 instruments issued by subsidiaries and held by third parties (amount allowed in group AT1)		31,984	23,597
36	Additional Tier 1 capital: instruments (D)		1,999,314	1,290,597
Additional Tier 1 capital: regulatory adjustments				
37	Investments in own Additional Tier 1 instruments		—	—
38	Reciprocal cross-holdings in Additional Tier 1 instruments		—	—
39	Investments in the capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation, net of eligible short positions, where the bank does not own more than 10% of the issued common share capital of the entity (amount above the 10% threshold)		—	—
40	Significant investments in the Additional Tier 1 capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation (net of eligible short positions)		82,978	82,978
42	Regulatory adjustments applied to Additional Tier 1 due to insufficient Tier 2 to cover deductions		—	—
43	Additional Tier 1 capital: regulatory adjustments (E)		82,978	82,978
Additional Tier 1 capital (AT1)				
44	Additional Tier 1 capital ((D)-(E)) (F)		1,916,335	1,207,618
Tier 1 capital (T1 = CET1 + AT1)				
45	Tier 1 capital (T1 = CET1 + AT1) ((C)+(F)) (G)		11,937,881	9,963,206
Tier 2 capital: instruments and provisions (4)				
46	Directly issued qualifying Tier 2 instruments plus related capital surplus of which: classified as equity under applicable accounting standards and the breakdown		—	—
	Stock subscription rights and stock acquisition rights to Tier 2 instruments		—	—
	Directly issued qualifying Tier 2 instruments plus related capital surplus of which: classified as liabilities under applicable accounting standards		948,121	766,614
	Qualifying Tier 2 instruments plus related capital surplus issued by special purpose vehicles and other equivalent entities		—	—
48	Tier 2 instruments issued by subsidiaries and held by third parties (amount allowed in group T2)		7,692	4,491
50	Total of general reserve for possible loan losses and eligible provisions included in Tier 2		150,417	108,065
50a	of which: general reserve for possible loan losses		30,761	17,293
50b	of which: eligible provisions		119,655	90,771
51	Tier 2 capital: instruments and provisions (H)		1,106,230	879,171

(Millions of yen, except percentages)

Basel III Template No.	Items	a	b	c
		As of March 31,2024	As of March 31,2023	Reference to Template CC2
Tier 2 capital: regulatory adjustments (5)				
52	Investments in own Tier 2 instruments	—	—	
53	Reciprocal cross-holdings in Tier 2 instruments and other TLAC liabilities	—	—	
54	Investments in the capital and other TLAC liabilities of banking, financial and insurance entities that are outside the scope of regulatory consolidation, net of eligible short positions, where the bank does not own more than 10% of the issued common share capital of the entity (amount above the 10% threshold)	—	—	
55	Significant investments in the capital and other TLAC liabilities of banking, financial and insurance entities that are outside the scope of regulatory consolidation (net of eligible short positions)	45,399	40,062	
57	Tier 2 capital: regulatory adjustments (I)	45,399	40,062	
Tier 2 capital (T2)				
58	Tier 2 capital (T2) ((H)-(I)) (J)	1,060,831	839,109	
Total capital (TC = T1 + T2)				
59	Total capital (TC = T1 + T2) ((G)+(J)) (K)	12,998,713	10,802,315	
Risk weighted assets (6)				
60	Total risk-weighted assets (RWA) (L)	80,641,252	70,401,996	
Capital ratios (consolidated) (7)				
61	Common Equity Tier 1 risk-weighted capital ratio (consolidated) ((C)/(L))	12.42%	12.43%	
62	Tier 1 risk-weighted capital ratio (consolidated) ((G)/(L))	14.80%	14.15%	
63	Total risk-weighted capital ratio (consolidated) ((K)/(L))	16.11%	15.34%	
Regulatory adjustments (8)				
72	Non-significant investments in the capital and other TLAC liabilities of other financials that are below the thresholds for deduction (before risk weighting)	907,607	532,660	
73	Significant investments in the common stock of other financials that are below the thresholds for deduction (before risk weighting)	841,929	597,865	
74	Mortgage servicing rights that are below the thresholds for deduction (before risk weighting)	—	—	
75	Deferred tax assets arising from temporary differences that are below the thresholds for deduction (before risk weighting)	32,421	48,842	
Provisions included in Tier 2 capital: instruments and provisions (9)				
76	Provisions (general reserve for possible loan losses)	30,761	17,293	
77	Cap on inclusion of provisions (general reserve for possible loan losses)	50,704	30,464	
78	Provisions eligible for inclusion in Tier 2 in respect of exposures subject to internal ratings-based approach (prior to application of cap) (if the amount is negative, report as “nil”)	119,655	90,771	
79	Cap for inclusion of provisions in Tier 2 under internal ratings-based approach	412,505	296,379	

(Millions of yen)

Items	As of March 31,2024	As of March 31,2023
Required capital ((L) × 8%)	6,451,300	5,632,159

## ■ CC2: Reconciliation of regulatory capital to balance sheet

Sumitomo Mitsui Banking Corporation and Subsidiaries

(Millions of yen)

Items	a		b	c
	Consolidated balance sheet as in published financial statements		Reference to Template CC1	Reference to appended table
	As of March 31, 2024	As of March 31, 2023		
(Assets)				
Cash and due from banks	75,046,469	73,403,912		
Call loans and bills bought	5,933,883	6,374,812		
Receivables under resale agreements	6,163,573	4,603,145		
Receivables under securities borrowing transactions	2,607,042	1,612,415		
Monetary claims bought	6,094,883	5,549,887		
Trading assets	5,656,912	4,084,755		6-a
Money held in trust	0	0		
Securities	35,622,891	32,074,167		2-b,6-b
Loans and bills discounted	107,763,214	99,823,911		6-c
Foreign exchanges	2,068,885	1,940,736		
Lease receivables and investment assets	207,645	226,302		
Other assets	10,142,406	8,753,186		6-d
Tangible fixed assets	841,538	1,337,805		
Intangible fixed assets	420,745	354,939		2-a
Net defined benefit asset	901,362	698,974		3
Deferred tax assets	53,836	57,428		4-a
Customers' liabilities for acceptances and guarantees	13,426,544	12,278,891		
Reserve for possible loan losses	(653,587)	(607,747)		
Total assets	272,298,248	252,567,523		
(Liabilities)				
Deposits	165,146,962	159,251,139		
Negotiable certificates of deposit	15,149,775	13,252,060		
Call money and bills sold	1,018,349	786,055		
Payables under repurchase agreements	15,830,507	14,194,027		
Payables under securities lending transactions	791,908	438,094		
Commercial paper	2,429,179	2,320,969		
Trading liabilities	4,312,954	4,234,268		6-e
Borrowed money	24,998,606	22,246,521		8-a
Foreign exchanges	2,873,784	1,496,765		
Bonds	1,144,288	681,821		8-b
Due to trust account	1,246,198	2,413,464		
Other liabilities	11,668,391	8,811,706		6-f
Reserve for employee bonuses	62,064	52,102		
Reserve for executive bonuses	1,861	1,623		
Net defined benefit liability	9,846	6,367		
Reserve for executive retirement benefits	642	596		
Reserve for point service program	1,581	1,140		
Reserve for reimbursement of deposits	9,228	10,845		
Deferred tax liabilities	653,976	325,598		4-b
Deferred tax liabilities for land revaluation	27,316	27,952		4-c
Acceptances and guarantees	13,426,544	12,278,891		
Total liabilities	260,803,969	242,832,013		
(Net assets)				
Capital stock	1,770,996	1,770,996		1-a
Capital surplus	1,977,337	1,965,682		1-b
Retained earnings	4,598,846	4,239,771		1-c
Treasury stock	(210,003)	(210,003)		1-d
Total stockholders' equity	8,137,177	7,766,447		
Net unrealized gains or losses on other securities	1,779,511	972,941		
Net deferred gains or losses on hedges	(66,285)	(15,964)		5
Land revaluation excess	34,936	35,005		
Foreign currency translation adjustments	1,124,445	697,887		
Accumulated remeasurements of defined benefit plans	282,263	131,222		
Total accumulated other comprehensive income	3,154,871	1,821,091	(a)	
Non-controlling interests	202,229	147,969		7-b
Total net assets	11,494,278	9,735,509		
Total liabilities and net assets	272,298,248	252,567,523		

Note: The regulatory scope of consolidation is the same as the accounting scope of consolidation.

(Appended Table)

## 1. Stockholders' equity

## (1) Consolidated balance sheet

(Millions of yen)

Consolidated balance sheet items	As of March 31, 2024	As of March 31, 2023	Remarks	Ref. No.
Capital stock	1,770,996	1,770,996	Including eligible Tier 1 capital instruments subject to transitional arrangement	1-a
Capital surplus	1,977,337	1,965,682	Including eligible Tier 1 capital instruments subject to transitional arrangement	1-b
Retained earnings	4,598,846	4,239,771		1-c
Treasury stock	(210,003)	(210,003)	Eligible Tier 1 capital instruments subject to transitional arrangement	1-d
Total stockholders' equity	8,137,177	7,766,447		

## (2) Composition of capital

(Millions of yen)

Composition of capital disclosure	As of March 31, 2024	As of March 31, 2023	Remarks	Basel III Template No.
Directly issued qualifying common share capital plus related capital surplus and retained earnings	8,137,177	7,766,447	Stockholders' equity attributable to common shares (before adjusting national specific regulatory adjustments (earnings to be distributed))	
of which: capital and capital surplus	3,538,331	3,526,676		1a
of which: retained earnings	4,598,846	4,239,771		2
of which: treasury stock (-)	—	—		1c
of which: other than the above	—	—		
Directly issued qualifying Additional Tier 1 instruments plus related capital surplus of which: classified as equity under applicable accounting standards and the breakdown	—	—	Stockholders' equity attributable to preferred shares with a loss absorbency clause upon entering into effectively bankruptcy	31a

## 2. Intangible fixed assets

## (1) Consolidated balance sheet

(Millions of yen)

Consolidated balance sheet items	As of March 31, 2024	As of March 31, 2023	Remarks	Ref. No.
Intangible fixed assets	420,745	354,939		2-a
Securities	35,622,891	32,074,167		2-b
of which: goodwill attributable to equity-method investees	99,142	3,801		
Income taxes related to above	123,968	104,177		

## (2) Composition of capital

(Millions of yen)

Composition of capital disclosure	As of March 31, 2024	As of March 31, 2023	Remarks	Basel III Template No.
Goodwill (including those equivalent)	99,142	3,801		8
Other intangibles other than goodwill and mortgage servicing rights	296,777	250,761	Software and other	9
Mortgage servicing rights	—	—		
Amount exceeding the 10% threshold on specified items	—	—		20
Amount exceeding the 15% threshold on specified items	—	—		24
Mortgage servicing rights that are below the thresholds for deduction (before risk weighting)	—	—		74

## 3. Net defined benefit asset

## (1) Consolidated balance sheet

(Millions of yen)

Consolidated balance sheet items	As of March 31, 2024	As of March 31, 2023	Remarks	Ref. No.
Net defined benefit asset	901,362	698,974		3
Income taxes related to above	275,863	213,879		

## (2) Composition of capital

(Millions of yen)

Composition of capital disclosure	As of March 31, 2024	As of March 31, 2023	Remarks	Basel III Template No.
Net defined benefit asset	625,498	485,094		15

## 4. Deferred tax assets

## (1) Consolidated balance sheet

(Millions of yen)

Consolidated balance sheet items	As of March 31, 2024	As of March 31, 2023	Remarks	Ref. No.
Deferred tax assets	53,836	57,428		4-a
Deferred tax liabilities	653,976	325,598		4-b
Deferred tax liabilities for land revaluation	27,316	27,952		4-c

Tax effects on intangible fixed assets	123,968	104,177	
Tax effects on net defined benefit asset	275,863	213,879	

## (2) Composition of capital

(Millions of yen)

Composition of capital disclosure	As of March 31, 2024	As of March 31, 2023	Remarks	Basel III Template No.
Deferred tax assets that rely on future profitability excluding those arising from temporary differences (net of related tax liability)	3,949	1,292	This item does not agree with the amount reported on the consolidated balance sheet due to offsetting of assets and liabilities.	10
Deferred tax assets arising from temporary differences (net of related tax liability)	32,421	48,842	This item does not agree with the amount reported on the consolidated balance sheet due to offsetting of assets and liabilities.	
Amount exceeding the 10% threshold on specified items	—	—		21
Amount exceeding the 15% threshold on specified items	—	—		25
Deferred tax assets arising from temporary differences that are below the thresholds for deduction (before risk weighting)	32,421	48,842		75

## 5. Deferred gains or losses on derivatives under hedge accounting

## (1) Consolidated balance sheet

(Millions of yen)

Consolidated balance sheet items	As of March 31, 2024	As of March 31, 2023	Remarks	Ref. No.
Net deferred gains or losses on hedges	(66,285)	(15,964)		5

## (2) Composition of capital

(Millions of yen)

Composition of capital disclosure	As of March 31, 2024	As of March 31, 2023	Remarks	Basel III Template No.
Net deferred gains or losses on hedges	(146,062)	(77,631)	Excluding those items whose valuation differences arising from hedged items are recognized as "Accumulated other comprehensive income"	11

## 6. Items associated with investments in the capital of financial institutions

## (1) Consolidated balance sheet

(Millions of yen)

Consolidated balance sheet items	As of March 31, 2024	As of March 31, 2023	Remarks	Ref. No.
Trading assets	5,656,912	4,084,755	Including trading account securities and derivatives for trading assets	6-a
Securities	35,622,891	32,074,167		6-b
Loans and bills discounted	107,763,214	99,823,911	Including subordinated loans	6-c
Other assets	10,142,406	8,753,186	Including derivatives	6-d
Trading liabilities	4,312,954	4,234,268	Including trading account securities sold and derivatives for trading liabilities	6-e
Other liabilities	11,668,391	8,811,706	Including derivatives	6-f

## (2) Composition of capital

(Millions of yen)

Composition of capital disclosure	As of March 31, 2024	As of March 31, 2023	Remarks	Basel III Template No.
Investments in own capital instruments	—	—		
Common Equity Tier 1 capital	—	—		16
Additional Tier 1 capital	—	—		37
Tier 2 capital	—	—		52
Reciprocal cross-holdings in the capital of banking, financial and insurance entities	—	—		
Common Equity Tier 1 capital	—	—		17
Additional Tier 1 capital	—	—		38
Tier 2 capital and other TLAC liabilities	—	—		53
Investments in the capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation, net of eligible short positions, where the bank does not own more than 10% of the issued share capital (amount above the 10% threshold)	907,607	532,660		
Common Equity Tier 1 capital	—	—		18
Additional Tier 1 capital	—	—		39
Tier 2 capital and other TLAC liabilities	—	—		54
Non-significant investments in the capital and other TLAC liabilities of other financials that are below the thresholds for deductions (before risk weighting)	907,607	532,660		72
Significant investments in the capital of banking, financial and insurance entities that are outside the scope of regulatory consolidation (net of eligible short positions)	970,307	720,906		
Amount exceeding the 10% threshold on specified items	—	—		19
Amount exceeding the 15% threshold on specified items	—	—		23
Additional Tier 1 capital	82,978	82,978		40
Tier 2 capital and other TLAC liabilities	45,399	40,062		55
Significant investments in the common stock of other financials that are below the thresholds for deductions (before risk weighting)	841,929	597,865		73

## 7. Non-controlling interests

## (1) Consolidated balance sheet

(Millions of yen)

Consolidated balance sheet items	As of March 31, 2024	As of March 31, 2023	Remarks	Ref. No.
Non-controlling interests	202,229	147,969		7-b

## (2) Composition of capital

(Millions of yen)

Composition of capital disclosure	As of March 31, 2024	As of March 31, 2023	Remarks	Basel III Template No.
Amount allowed in group CET1	2,135	1,404	After reflecting amounts eligible for inclusion (Non-Controlling Interest after adjustments)	5
Qualifying Additional Tier 1 instruments plus related capital surplus issued by special purpose vehicles and other equivalent entities	—	—	After reflecting amounts eligible for inclusion (Non-Controlling Interest after adjustments)	30-31ab-32
Amount allowed in group AT1	31,984	23,597	After reflecting amounts eligible for inclusion (Non-Controlling Interest after adjustments)	34
Qualifying Tier 2 instruments plus related capital surplus issued by special purpose vehicles and other equivalent entities	—	—	After reflecting amounts eligible for inclusion (Non-Controlling Interest after adjustments)	46
Amount allowed in group T2	7,692	4,491	After reflecting amounts eligible for inclusion (Non-Controlling Interest after adjustments)	48



## 8. Other capital instruments

## (1) Consolidated balance sheet

(Millions of yen)

Consolidated balance sheet items	As of March 31, 2024	As of March 31, 2023	Remarks
Borrowed money	24,998,606	22,246,521	
Bonds	1,144,288	681,821	
Total	26,142,894	22,928,343	

Ref. No.

8-a

8-b

## (2) Composition of capital

(Millions of yen)

Composition of capital disclosure	As of March 31, 2024	As of March 31, 2023	Remarks
Directly issued qualifying Additional Tier 1 instruments plus related capital surplus of which: classified as liabilities under applicable accounting standards	1,967,330	1,267,000	
Directly issued qualifying Tier 2 instruments plus related capital surplus of which: classified as liabilities under applicable accounting standards	948,121	766,614	

Basel III Template  
No.

32

46



## ■ Composition of Leverage Ratio

		(In million yen)	
Basel III Template No.	Items	As of March 31, 2024	As of March 31, 2023
1	Total assets reported in the consolidated balance sheet	272,298,248	
2	The amount of assets of subsidiaries that are not included in the scope of the leverage ratio on a consolidated basis (–)	–	
3	Adjustment for securitised exposures that meet the operational requirements for the recognition of risk transference	–	
4	Adjustments for exemption of central bank reserves (–)	59,381,657	
5	Adjustment for fiduciary assets recognised on the balance sheet pursuant to the operative accounting framework but excluded from the leverage ratio exposure measure (–)		
6	Adjustments for regular-way purchases and sales of financial assets subject to trade date accounting	306,966	
7	Adjustments for eligible cash pooling transactions	–	
8	Adjustments for total exposures related to derivatives transactions, etc.	1,372,731	
8a	Total exposures related to derivatives transactions, etc.	11,160,008	
8b	The amount of deductions from the exposures above (line 8a) (–)	9,787,276	
9	Adjustment for total exposures related to repo transactions, etc.	447,928	
9a	Total exposures related to repo transactions, etc.	9,218,544	
9b	The amount of deductions from the exposures above (line 9a) (–)	8,770,615	
10	Adjustments for total off-balance sheet exposures	30,202,520	
11	The amount of adjustments associated with Tier 1 capital (specific and general provisions) (–)	–	
12	Other adjustments	(15,252,560)	
12a	The amount of adjustments associated with Tier 1 capital (excluding specific and general provisions) (–)	1,108,346	
12b	The amount of customers' liabilities for acceptances and guarantees (–)	13,426,544	
12c	The amount of receivables arising from providing collateral, provided where deducted from the consolidated balance sheet pursuant to the operative accounting framework	–	
12d	The amount of receivables arising from providing cash variation margin (–)	717,669	
12e	The amount of assets of subsidiaries that are included in the scope of the leverage ratio on a consolidated basis (excluding those included in the total assets reported in the consolidated balance sheet)	–	
13	Total exposures	229,994,176	

(In million yen, %)

Basel III Template No.	Items	As of March 31, 2024	As of March 31, 2023
On-balance sheet exposures (1)			
1	On-balance sheet exposures before deducting adjustments	181,239,119	
2	The amount of receivables arising from providing collateral, provided where deducted from the consolidated balance sheet pursuant to the operative accounting framework	—	
3	The amount of receivables arising from providing cash variation margin (—)	717,669	
4	Adjustment for securities received under repo transactions that are recognised as assets (—)	—	
5	The amount of adjustments associated with Tier 1 capital (specific and general provisions) (—)	—	
6	The amount of adjustments associated with Tier 1 capital (excluding specific and general provisions) (—)	1,108,346	
7	Total on-balance sheet exposures (a)	179,413,103	
Exposures related to derivatives transactions, etc. (2)			
8	Replacement cost associated with derivatives transactions, etc. (with the 1.4 alpha factor applied)	4,805,289	
9	Add-on amounts for potential future exposure associated with derivatives transactions, etc. (with the 1.4 alpha factor applied)	6,194,333	
10	Exempted central counterparty (CCP) leg of client-cleared trade exposures (—)	—	
11	Adjusted effective notional amount of written credit derivatives	160,384	
12	The amount of deductions from effective notional amount of written credit derivatives (—)	—	
13	Total exposures related to derivatives transactions, etc. (b)	11,160,008	
Exposures related to repo transactions, etc. (3)			
14	The amount of assets related to repo transactions, etc.	8,770,615	
15	The amount of deductions from the assets above (line 14) (—)	—	
16	The exposures for counterparty credit risk for repo transactions, etc.	447,928	
17	The exposures for agent repo transaction		
18	Total exposures related to repo transactions, etc. (c)	9,218,543	
Exposures related to off-balance sheet transactions (4)			
19	Notional amount of off-balance sheet items	84,868,227	
20	The amount of adjustments for conversion to off-balance sheet exposures (—)	54,665,706	
22	Total off-balance sheet exposures (d)	30,202,520	
Leverage ratio on a consolidated basis (5)			
23	The amount of capital (Tier 1 capital) (e)	11,937,881	
24	Total exposures ((a)+(b)+(c)+(d)) (f)	229,994,176	
25	Leverage ratio on a consolidated basis ((e)/(f))	5.19%	
26	Minimum leverage ratio requirement	3.00%	
27	Applicable leverage buffer requirement	—	
Leverage ratio on a consolidated basis (including due from Bank of Japan) (6)			
	Total exposures (f)	229,994,176	
	The amount of due from Bank of Japan	59,381,657	
	Total exposures (including due from Bank of Japan) (f')	289,375,834	
	Leverage ratio on a consolidated basis (including due from Bank of Japan) ((e)/(f'))	4.12%	
Disclosure of mean values (7)			
28	Mean value of assets related to repo transactions, etc. (after the deductions) ((g)+(h))	7,689,801	
	Mean value of assets related to repo transactions, etc. (g)	7,689,801	
	Mean value of deductions from the assets above (—) (h)	—	
29	Quarter-end value of assets related to repo transactions, etc. (after the deductions) ((i)+(j))	8,770,615	
14	Quarter-end value of assets related to repo transactions, etc. (i)	8,770,615	
15	Quarter-end value of deductions from the assets above (line 14) (—) (j)	—	
30	Total exposures (including mean value above (line 28), but excluding due from Bank of Japan) (k)	228,913,361	
30a	Total exposures (including mean value above (line 28) and due from Bank of Japan) (l)	288,295,019	
31	Leverage ratio on a consolidated basis (including mean value above (line 28), but excluding due from Bank of Japan) ((e)/(k))	5.21%	
31a	Leverage ratio on a consolidated basis (including mean value above (line 28) and due from Bank of Japan) ((e)/(l))	4.14%	

(In million yen, %)

Corresponding line # on Basel III disclosure template (Table2)	Corresponding line # on Basel III disclosure template (Table1)	Items	As of March 31, 2024	As of March 31, 2023
On-balance sheet exposures (1)				
1		On-balance sheet exposures before deducting adjustment items		166,362,969
1a	1	Total assets reported in the consolidated balance sheet		193,518,055
1b	2	The amount of assets of subsidiaries that are not included in the scope of the leverage ratio on a consolidated basis (-)		—
1c	7	The amount of assets of subsidiaries that are included in the scope of the leverage ratio on a consolidated basis (except those included in the total assets reported in the consolidated balance sheet)		—
1d	3	The amount of assets that are deducted from the total assets reported in the consolidated balance sheet (except adjustment items) (-)		27,155,086
2	7	The amount of adjustment items pertaining to Tier 1 capital (-)		823,929
3		Total on-balance sheet exposures (a)		165,539,040
Exposures related to derivative transactions (2)				
4		Replacement cost associated with derivatives transactions, etc. (with the 1.4 alpha factor applied)		
		Replacement cost associated with derivatives transactions, etc.		4,065,961
5		Add-on amount for potential future exposure associated with derivatives transactions, etc. (with the 1.4 alpha factor applied)		
		Add-on amount associated with derivatives transactions, etc.		4,495,701
		The amount of receivables arising from providing cash margin in relation to derivatives transactions, etc.		864,127
6		The amount of receivables arising from providing collateral, provided where deducted from the consolidated balance sheet pursuant to the operative accounting framework		
		The amount of receivables arising from providing cash margin, provided where deducted from the consolidated balance sheet pursuant to the operative accounting framework		—
7		The amount of deductions of receivables (out of those arising from providing cash variation margin) (-)		145,156
8		The amount of client-cleared trade exposures for which a bank acting as clearing member is not obliged to make any indemnification (-)		
9		Adjusted effective notional amount of written credit derivatives		73,650
10		The amount of deductions from effective notional amount of written credit derivatives (-)		—
11	4	Total exposures related to derivative transactions (b)		9,354,284
Exposures related to repo transactions (3)				
12		The amount of assets related to repo transactions, etc.		6,215,561
13		The amount of deductions from the assets above (line 12) (-)		—
14		The exposures for counterparty credit risk for repo transactions, etc.		299,578
15		The exposures for agent repo transaction		
16	5	Total exposures related to repo transactions, etc. (c)		6,515,139
Exposures related to off-balance sheet transactions (4)				
17		Notional amount of off-balance sheet transactions		75,774,180
18		The amount of adjustments for conversion in relation to off-balance sheet transactions (-)		45,111,909
19	6	Total exposures related to off-balance sheet transactions (d)		30,662,270
Leverage ratio on a consolidated basis (5)				
20		The amount of capital (Tier 1 capital) (e)		9,963,206
21	8	Total exposures ((a)+(b)+(c)+(d)) (f)		212,070,734
22		Leverage ratio on a consolidated basis ((e)/(f))		4.69%
		Minimum leverage ratio requirement		3.00%
Leverage ratio on a consolidated basis (including deposits with the Bank of Japan) (6)				
		Total exposures (f)		212,070,734
		The amount of deposits with the Bank of Japan		59,049,467
		Total exposures (including deposits with the Bank of Japan) (f')		271,120,202
		Leverage ratio on a consolidated basis (including deposits with the Bank of Japan) ((e)/(f'))		3.67%