

Capital (Non-consolidated)

Sumitomo Mitsui Financial Group, Inc.

Changes in Number of Shares Issued and Capital Stock

	Millions of yen					
	Number of shares issued		Capital stock		Capital reserve	
	Changes	Balances	Changes	Balances	Changes	Balances
July 27, 2020*	868,505	1,374,040,061	1,309	2,341,274	1,308	1,562,751
July 26, 2021**	322,041	1,374,362,102	603	2,341,878	603	1,563,355
July 26, 2022***	329,092	1,374,691,194	658	2,342,537	658	1,564,013
June 20, 2023****	(37,640,000)	1,337,051,194	—	2,342,537	—	1,564,013
July 25, 2023*****	477,890	1,337,529,084	1,501	2,344,038	1,500	1,565,514
April 15, 2024*****	(20,132,000)	1,317,397,084	—	2,344,038	—	1,565,514
July 25, 2024*****	341,902	1,317,738,986	1,922	2,345,960	1,922	1,567,436
August 20, 2024*****	(9,561,800)	1,308,177,186	—	2,345,960	—	1,567,436
October 1, 2024*****	2,616,354,372	3,924,531,558	—	2,345,960	—	1,567,436
February 20, 2025*****	(40,086,100)	3,884,445,458	—	2,345,960	—	1,567,436

Remarks:

* Allotment to third parties (in-kind contributions of monetary compensation claims):

Common stock: 868,505 shares

Issue price: ¥ 3,015

Capitalization: ¥ 1,508

** Allotment to third parties (in-kind contributions of monetary compensation claims):

Common stock: 322,041 shares

Issue price: ¥ 3,749

Capitalization: ¥ 1,875

*** Allotment to third parties (in-kind contributions of monetary compensation claims):

Common stock: 329,092 shares

Issue price: ¥ 4,004

Capitalization: ¥ 2,002

**** The decrease of 37,640,000 shares was due to cancellation of treasury stock.

***** Allotment to third parties (in-kind contributions of monetary compensation claims):

Common stock: 477,890 shares

Issue price: ¥ 6,281

Capitalization: ¥ 3,141

***** The decrease of 20,132,000 shares was due to cancellation of treasury stock.

***** Allotment to third parties (in-kind contributions of monetary compensation claims):

Common stock: 341,902 shares

Issue price: ¥ 11,245

Capitalization: ¥ 5,623

***** The decrease of 9,561,800 shares was due to cancellation of treasury stock.

***** The increase of 2,616,354,372 shares was due to the execution of a three-for-one split of the Company's common stock.

***** The decrease of 40,086,100 shares was due to cancellation of treasury stock.

Number of Shares Issued

March 31, 2025	Number of shares issued
Common stock	3,884,445,458
Total	3,884,445,458

Stock Exchange Listings

Tokyo Stock Exchange (Prime Market)
Nagoya Stock Exchange (Premier Market)
New York Stock Exchange*

* The Company listed its ADRs on the New York Stock Exchange.

Number of Common Shares, Classified by Type of Shareholders

March 31, 2025	Number of shareholders	Number of units	Percentage of total
Japanese government and local government	7	14,325	0.03%
Financial institutions	325	11,172,799	28.79
Securities companies	76	2,240,590	5.77
Other institutions	8,499	2,820,625	7.27
Foreign institutions	1,306	15,452,340	39.82
Foreign individuals	1,971	38,123	0.09
Individuals and others	465,015	7,059,151	18.19
Total	477,199	38,797,953	100.00%
Fractional shares (shares)	—	4,650,158	—

Notes: 1. Of 10,205,148 shares in treasury stock, 102,051 units are included in “Individuals and others” and 48 shares are included in “Fractional shares.”

2. “Other institutions” and “Fractional shares” includes 88 units and 44 shares, held at Japan Securities Depository Center, Incorporated.

Principal Shareholders

March 31, 2025	Number of shares	Percentage of shares outstanding (excluding treasury stock)
The Master Trust Bank of Japan, Ltd. (Trust Account)	635,472,900	16.40%
Custody Bank of Japan, Ltd. (Trust Account)	221,036,100	5.70
STATE STREET BANK AND TRUST COMPANY 505001*	106,052,661	2.73
STATE STREET BANK WEST CLIENT - TREATY 505234*	77,562,181	2.00
NATSCUMCO**	73,125,966	1.88
JP MORGAN CHASE BANK 385781*	52,795,679	1.36
GOVERNMENT OF NORWAY***	50,656,867	1.30
JP Morgan Securities Japan Co., Ltd	48,010,432	1.23
Barclays Securities Japan Limited	44,065,000	1.13
The Nomura Trust and Banking Co., Ltd. (Trust Account)	41,824,300	1.07
Total	1,350,602,086	34.86%

* Standing agent: Mizuho Bank, Ltd., Settlement Service Department

** Standing agent: Sumitomo Mitsui Banking Corporation

*** Standing agent: Citibank, N.A., Tokyo Branch

Notes: 1. Nomura Securities Co., Ltd. has submitted a Change Report of Possession of Large Volume regarding its shareholding as of July 22, 2020. It stated that Nomura Securities Co., Ltd. and two other shareholders held the following common shares in the Company as of July 15, 2020. But, these three are not included in the above Principal Shareholders because the Company was unable to confirm the number of shares owned by them at the end of the fiscal year ended March 31, 2025.

The Change Report of Possession of Large Volume is detailed as follows. Number of shares held is based on the number of shares before considering the stock split, with a record date of September 30, 2024, and an effective date of October 1, 2024.

Principal Shareholder: Nomura Securities Co., Ltd. (and two other joint holders)

Number of shares held: 71,289,221 shares (including joint ownership)

Shareholding ratio: 5.19%

2. BlackRock Japan Co., Ltd. has submitted a Change Report of Possession of Large Volume regarding its shareholding as of February 2, 2024. It stated that BlackRock Japan Co., Ltd. and twelve other shareholders held the following common shares in the Company as of January 31, 2024. But, these thirteen are not included in the above Principal Shareholders because the Company was unable to confirm the number of shares owned by them at the end of the fiscal year ended March 31, 2025.

The Change Report of Possession of Large Volume is detailed as follows. Number of shares held is based on the number of shares before considering the stock split, with a record date of September 30, 2024, and an effective date of October 1, 2024.

Principal Shareholder: BlackRock Japan Co., Ltd. (and twelve other joint holders)

Number of shares held: 100,856,284 shares (including joint ownership)

Shareholding ratio: 7.54%