

Capital (Non-consolidated)

Sumitomo Mitsui Financial Group, Inc.

Changes in Number of Shares Issued and Capital Stock

	Millions of yen					
	Number of shares issued		Capital stock		Capital reserve	
	Changes	Balances	Changes	Balances	Changes	Balances
July 26, 2021*	322,041	1,374,362,102	603	2,341,878	603	1,563,355
July 26, 2022**	329,092	1,374,691,194	658	2,342,537	658	1,564,013
June 20, 2023***	(37,640,000)	1,337,051,194	—	2,342,537	—	1,564,013
July 25, 2023****	477,890	1,337,529,084	1,501	2,344,038	1,500	1,565,514
April 15, 2024*****	(20,132,000)	1,317,397,084	—	2,344,038	—	1,565,514
July 25, 2024*****	341,902	1,317,738,986	1,922	2,345,960	1,922	1,567,436
August 20, 2024*****	(9,561,800)	1,308,177,186	—	2,345,960	—	1,567,436
October 1, 2024*****	2,616,354,372	3,924,531,558	—	2,345,960	—	1,567,436
February 20, 2025*****	(40,086,100)	3,884,445,458	—	2,345,960	—	1,567,436
July 25, 2025*****	513,282	3,884,958,740	927	2,346,888	927	1,568,364
August 20, 2025*****	(27,551,100)	3,857,407,640	—	2,346,888	—	1,568,364
February 20, 2026*****	(29,909,500)	3,827,498,140	—	2,346,888	—	1,568,364

Remarks:

* Allotment to third parties (in-kind contributions of monetary compensation claims):

Common stock: 322,041 shares

Issue price: ¥ 3,749

Capitalization: ¥ 1,875

** Allotment to third parties (in-kind contributions of monetary compensation claims):

Common stock: 329,092 shares

Issue price: ¥ 4,004

Capitalization: ¥ 2,002

*** The decrease of 37,640,000 shares was due to cancellation of treasury stock.

**** Allotment to third parties (in-kind contributions of monetary compensation claims):

Common stock: 477,890 shares

Issue price: ¥ 6,281

Capitalization: ¥ 3,141

***** The decrease of 20,132,000 shares was due to cancellation of treasury stock.

***** Allotment to third parties (in-kind contributions of monetary compensation claims):

Common stock: 341,902 shares

Issue price: ¥ 11,245

Capitalization: ¥ 5,623

***** The decrease of 9,561,800 shares was due to cancellation of treasury stock.

***** The increase of 2,616,354,372 shares was due to the execution of a three-for-one split of the Company's common stock.

***** The decrease of 40,086,100 shares was due to cancellation of treasury stock.

***** Allotment to third parties (in-kind contributions of monetary compensation claims):

Common stock: 513,282 shares

Issue price: ¥ 3,614

Capitalization: ¥ 1,807

***** The decrease of 27,551,100 shares was due to cancellation of treasury stock.

***** The decrease of 29,909,500 shares was due to cancellation of treasury stock.

Number of Shares Issued

March 31, 2026	Number of shares issued
Common stock	3,827,498,140
Total	3,827,498,140

Stock Exchange Listings

Tokyo Stock Exchange (Prime Market)
Nagoya Stock Exchange (Premier Market)
New York Stock Exchange*

* The Company listed its ADRs on the New York Stock Exchange.

Number of Common Shares, Classified by Type of Shareholders

March 31, 2026	Number of shareholders	Number of units	Percentage of total
Japanese government and local government	8	14,326	0.03%
Financial institutions	334	10,893,652	28.50
Securities companies	86	1,822,759	4.76
Other institutions	9,113	2,623,534	6.86
Foreign institutions	1,340	15,871,526	41.52
Foreign individuals	2,709	40,056	0.10
Individuals and others	536,305	6,956,714	18.20
Total	549,895	38,222,567	100.00%
Fractional shares (shares)	—	5,241,440	—

Notes: 1. Of 10,057,619 shares in treasury stock, 100,576 units are included in “Individuals and others” and 19 shares are included in “Fractional shares.”

2. “Other institutions” and “Fractional shares” includes 88 units and 44 shares, held at Japan Securities Depository Center, Incorporated.

Principal Shareholders

March 31, 2026	Number of shares	Percentage of shares outstanding (excluding treasury stock)
The Master Trust Bank of Japan, Ltd. (Trust Account)	616,623,100	16.15%
Custody Bank of Japan, Ltd. (Trust Account)	221,341,000	5.79
STATE STREET BANK AND TRUST COMPANY 505001*	107,842,569	2.82
NATSCUMCO**	75,304,329	1.97
JP MORGAN CHASE BANK 385781*	54,083,479	1.41
The Nomura Trust and Banking Co., Ltd. (Trust Account)	41,714,200	1.09
GOVERNMENT OF NORWAY***	41,681,527	1.09
JP Morgan Securities Japan Co., Ltd	38,047,900	0.99
THE CHASE MANHATTAN BANK, N.A. LONDONSECS LENDING OMNIBUS ACCOUNT*	36,816,565	0.96
STATE STREET BANK AND TRUST COMPANY 505103*	34,904,897	0.91
Total	1,268,359,566	33.22%

* Standing agent: Mizuho Bank, Ltd., Settlement Service Department

** Standing agent: Sumitomo Mitsui Banking Corporation

*** Standing agent: Citibank, N.A., Tokyo Branch

Notes: 1. BlackRock Japan Co., Ltd. has submitted a Change Report of Possession of Large Volume regarding its shareholding as of February 2, 2024. It stated that BlackRock Japan Co., Ltd. and twelve other shareholders held the following common shares in the Company as of January 31, 2024. But, these thirteen are not included in the above Principal Shareholders because the Company was unable to confirm the number of shares owned by them at the end of the fiscal year ended March 31, 2026.

The Change Report of Possession of Large Volume is detailed as follows. Number of shares held is based on the number of shares before considering the stock split, with a record date of September 30, 2024, and an effective date of October 1, 2024.

Principal Shareholder: BlackRock Japan Co., Ltd. (and twelve other joint holders)

Number of shares held: 100,856,284 shares (including joint ownership)

Shareholding ratio: 7.54%

2. Sumitomo Mitsui Trust Bank, Limited has submitted a Change Report of Possession of Large Volume regarding its shareholding as of September 19, 2025. It stated that Sumitomo Mitsui Trust Asset Management Co., Ltd. and one other shareholder held the following common shares in the Company as of September 15, 2025. But, these two are not included in the above Principal Shareholders because the Company was unable to confirm the number of shares owned by them at the end of the fiscal year ended March 31, 2026.

The Change Report of Possession of Large Volume is detailed as follows.

Principal Shareholder: BlackRock Japan Co., Ltd. (and one other joint holder)

Number of shares held: 206,720,006 shares (including joint ownership)

Shareholding ratio: 5.36%