

Securities (Nonconsolidated)

Sumitomo Mitsui Banking Corporation

Balance of Securities

Period-End Balance

September 30	Millions of yen	
	2008	2007
Domestic operations:		
Japanese government bonds	¥ 7,911,989	¥ 6,501,085
Japanese local government bonds.....	288,935	471,144
Japanese corporate bonds	3,412,694	3,547,974
Japanese stocks.....	3,555,386	4,443,291
Others.....	397,737	797,818
Foreign bonds	/	/
Foreign stocks.....	/	/
Subtotal	¥15,566,743	¥15,761,314
International operations:		
Japanese government bonds.....	¥ —	¥ —
Japanese local government bonds.....	—	—
Japanese corporate bonds	—	—
Japanese stocks.....	—	—
Others.....	5,415,702	4,098,809
Foreign bonds	4,064,770	2,798,717
Foreign stocks.....	1,350,931	1,300,091
Subtotal	¥ 5,415,702	¥ 4,098,809
Total	¥20,982,446	¥19,860,123

Average Balance

Six months ended September 30	Millions of yen	
	2008	2007
Domestic operations:		
Japanese government bonds.....	¥ 9,760,818	¥ 7,068,660
Japanese local government bonds.....	308,937	512,238
Japanese corporate bonds	3,413,833	3,720,153
Japanese stocks.....	2,773,028	2,897,546
Others.....	476,715	854,254
Foreign bonds	/	/
Foreign stocks.....	/	/
Subtotal	¥16,733,333	¥15,052,853
International operations:		
Japanese government bonds.....	¥ —	¥ —
Japanese local government bonds.....	—	—
Japanese corporate bonds	—	—
Japanese stocks.....	—	—
Others.....	5,317,036	3,200,443
Foreign bonds	4,020,306	1,893,742
Foreign stocks.....	1,296,729	1,306,701
Subtotal	¥ 5,317,036	¥ 3,200,443
Total	¥22,050,370	¥18,253,297

Note: The average balance of foreign-currency-denominated transactions by domestic branches in international operations is calculated by the monthly current method.

Balance of Securities Held, Classified by Maturity

September 30	Millions of yen	
	2008	2007
One year or less		
Japanese government bonds.....	¥1,512,185	¥1,968,015
Japanese local government bonds.....	25,016	99,771
Japanese corporate bonds.....	354,768	420,765
Others.....	420,416	379,588
Foreign bonds.....	403,922	327,392
Foreign stocks.....	146	—
One–three years		
Japanese government bonds.....	1,343,596	332,190
Japanese local government bonds.....	43,209	76,510
Japanese corporate bonds.....	1,004,580	1,097,559
Others.....	1,583,808	792,651
Foreign bonds.....	1,525,542	736,074
Foreign stocks.....	—	914
Three–five years		
Japanese government bonds.....	2,834,640	912,244
Japanese local government bonds.....	142,527	11,626
Japanese corporate bonds.....	1,270,792	875,157
Others.....	1,488,700	803,854
Foreign bonds.....	1,449,418	723,702
Foreign stocks.....	—	—
Five–seven years		
Japanese government bonds.....	399,138	333,802
Japanese local government bonds.....	77,802	200,516
Japanese corporate bonds.....	365,295	638,041
Others.....	293,732	163,514
Foreign bonds.....	246,962	122,426
Foreign stocks.....	1,539	8,360
Seven–10 years		
Japanese government bonds.....	212,076	1,102,639
Japanese local government bonds.....	329	82,315
Japanese corporate bonds.....	375,246	426,005
Others.....	295,807	433,027
Foreign bonds.....	261,780	367,187
Foreign stocks.....	—	—
More than 10 years		
Japanese government bonds.....	1,610,352	1,852,192
Japanese local government bonds.....	50	405
Japanese corporate bonds.....	42,011	90,443
Others.....	363,680	760,442
Foreign bonds.....	177,143	521,934
Foreign stocks.....	186,536	238,506
No designated term		
Japanese government bonds.....	—	—
Japanese local government bonds.....	—	—
Japanese corporate bonds.....	—	—
Japanese stocks.....	3,555,386	4,443,291
Others.....	1,367,293	1,563,549
Foreign bonds.....	—	—
Foreign stocks.....	1,162,709	1,052,310
Total		
Japanese government bonds.....	¥7,911,989	¥6,501,085
Japanese local government bonds.....	288,935	471,144
Japanese corporate bonds.....	3,412,694	3,547,974
Japanese stocks.....	3,555,386	4,443,291
Others.....	5,813,439	4,896,627
Foreign bonds.....	4,064,770	2,798,717
Foreign stocks.....	1,350,931	1,300,091