

## Capital (Nonconsolidated)

Sumitomo Mitsui Banking Corporation

Capital Stock (as of September 30, 2009)

¥1,262,959 million

### Number of Shares Issued

September 30, 2009	Number of shares issued
Common stock.....	85,239,932
Preferred stock (1st series Type 6).....	70,001
Total.....	<u>85,309,933</u>

Note: The shares above are not listed on any stock exchange.

### Principal Shareholders

#### a. Common Stock

September 30, 2009	Number of shares	Percentage of shares outstanding
Sumitomo Mitsui Financial Group, Inc. ....	85,239,932	100.00%

#### b. Preferred Stock (1st series Type 6)

September 30, 2009	Number of shares	Percentage of shares outstanding
Sumitomo Mitsui Financial Group, Inc. ....	70,001	100.00%

## Others (Nonconsolidated)

Sumitomo Mitsui Banking Corporation

### Breakdown of Collateral for Customers' Liabilities for Acceptances and Guarantees

September 30	Millions of yen	
	2009	2008
Securities .....	¥ 20,635	¥ 107,198
Commercial claims.....	12,766	29,992
Commercial goods .....	1,331	58,389
Real estate .....	53,475	59,959
Others .....	8,338	9,057
Subtotal .....	¥ 96,546	¥ 264,597
Guaranteed .....	468,858	508,201
Unsecured.....	3,074,214	3,740,733
Total .....	<u>¥3,639,618</u>	<u>¥4,513,532</u>