

Securities (Nonconsolidated)

Sumitomo Mitsui Banking Corporation

Balance of Securities

Year-End Balance

March 31	Millions of yen	
	2014	2013
Domestic operations:		
Japanese government bonds.....	¥13,822,947	¥26,231,692
Japanese local government bonds	46,830	159,088
Japanese corporate bonds	2,398,284	2,471,459
Japanese stocks.....	4,287,847	3,900,774
Others.....	1,003,621	893,622
Foreign bonds.....	/	/
Foreign stocks	/	/
Subtotal	¥21,559,531	¥33,656,638
International operations:		
Japanese government bonds.....	¥ —	¥ —
Japanese local government bonds	—	—
Japanese corporate bonds	—	—
Japanese stocks.....	—	—
Others.....	5,758,018	7,690,361
Foreign bonds.....	3,178,906	5,762,889
Foreign stocks	2,579,111	1,927,471
Subtotal	¥ 5,758,018	¥ 7,690,361
Total.....	¥27,317,549	¥41,347,000

Average Balance

Year ended March 31	Millions of yen	
	2014	2013
Domestic operations:		
Japanese government bonds.....	¥16,063,121	¥26,528,645
Japanese local government bonds	80,789	177,017
Japanese corporate bonds	2,365,242	2,665,321
Japanese stocks.....	3,181,987	3,220,358
Others.....	925,239	460,192
Foreign bonds.....	/	/
Foreign stocks	/	/
Subtotal	¥22,616,380	¥33,051,536
International operations:		
Japanese government bonds.....	¥ —	¥ —
Japanese local government bonds	—	—
Japanese corporate bonds	—	—
Japanese stocks.....	—	—
Others.....	5,710,389	6,209,456
Foreign bonds.....	3,411,872	4,483,159
Foreign stocks	2,298,516	1,726,296
Subtotal	¥ 5,710,389	¥ 6,209,456
Total.....	¥28,326,769	¥39,260,992

Note: The average balance of foreign-currency-denominated transactions by domestic branches in international operations is calculated by the monthly current method.

Balance of Securities Held, Classified by Maturity

March 31	Millions of yen	
	2014	2013
One year or less		
Japanese government bonds.....	¥ 4,689,108	¥ 8,105,692
Japanese local government bonds	12,392	45,360
Japanese corporate bonds	639,242	278,473
Others	768,566	630,608
Foreign bonds.....	752,318	616,160
Foreign stocks	—	—
One — three years		
Japanese government bonds.....	6,216,136	7,782,528
Japanese local government bonds	33,753	112,997
Japanese corporate bonds	872,091	776,276
Others	882,013	3,223,473
Foreign bonds.....	809,276	3,176,836
Foreign stocks	—	—
Three — five years		
Japanese government bonds.....	1,516,897	8,259,369
Japanese local government bonds	160	—
Japanese corporate bonds	568,760	891,292
Others	1,045,134	1,644,565
Foreign bonds.....	941,937	1,481,341
Foreign stocks	—	—
Five — seven years		
Japanese government bonds.....	1,400,805	1,741,444
Japanese local government bonds	481	196
Japanese corporate bonds	142,921	239,352
Others	471,718	186,337
Foreign bonds.....	436,100	180,582
Foreign stocks	252	526
Seven — 10 years		
Japanese government bonds.....	—	342,657
Japanese local government bonds	—	488
Japanese corporate bonds	99,379	222,512
Others	93,300	67,001
Foreign bonds.....	10,569	—
Foreign stocks	3,530	756
More than 10 years		
Japanese government bonds.....	—	—
Japanese local government bonds	42	45
Japanese corporate bonds	75,888	63,551
Others	384,958	460,749
Foreign bonds.....	228,703	307,968
Foreign stocks	154,888	146,966
No designated term		
Japanese government bonds.....	—	—
Japanese local government bonds	—	—
Japanese corporate bonds	—	—
Japanese stocks.....	4,287,847	3,900,774
Others	3,115,946	2,371,248
Foreign bonds.....	—	—
Foreign stocks	2,420,440	1,779,221
Total		
Japanese government bonds.....	¥13,822,947	¥26,231,692
Japanese local government bonds	46,830	159,088
Japanese corporate bonds	2,398,284	2,471,459
Japanese stocks.....	4,287,847	3,900,774
Others	6,761,639	8,583,984
Foreign bonds.....	3,178,906	5,762,889
Foreign stocks	2,579,111	1,927,471