

KM1 – Key metrics

Sumitomo Mitsui Banking Corporation and Subsidiaries

(Millions of yen, except percentages)

Basel Template No.		a	b	c	d	e
		As of September 30, 2018	As of June 30, 2018	As of March 31, 2018	As of December 31, 2017	As of September 30, 2017
Available capital						
1	Common Equity Tier 1 capital (CET1)	8,065,276	8,134,690	7,908,684	8,101,341	7,707,993
2	Tier 1 capital	9,512,126	9,650,729	9,423,723	9,260,292	8,710,465
3	Total capital	11,048,229	11,117,075	10,931,137	11,059,498	10,515,743
Risk-weighted assets						
4	Total risk-weighted assets (RWA)	52,560,056	52,409,324	51,707,483	58,911,346	57,348,399
Capital ratio (consolidated)						
5	Common Equity Tier 1 risk-weighted capital ratio (consolidated)	15.34%	15.52%	15.29%	13.75%	13.44%
6	Tier 1 risk-weighted capital ratio (consolidated)	18.09%	18.41%	18.22%	15.71%	15.18%
7	Total risk-weighted capital ratio (consolidated)	21.02%	21.21%	21.14%	18.77%	18.33%
Additional CET1 buffer requirements as a percentage of RWA						
8	Capital conservation buffer requirement	-	-	-	-	-
9	Countercyclical buffer requirement	-	-	-	-	-
10	G-SIB/D-SIB additional requirements	-	-	-	-	-
11	Total of CET1 specific buffer requirements	-	-	-	-	-
12	CET1 available after meeting the minimum capital requirements	-	-	-	-	-
Leverage ratio (consolidated)						
13	Total exposures	201,865,967	197,144,671	194,540,143	203,276,869	198,132,827
14	Leverage ratio (consolidated)	4.71%	4.89%	4.84%	4.55%	4.39%

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		Second Quarter of fiscal 2018 (From 2018/7/1 To 2018/9/30)	First Quarter of fiscal 2018 (From 2018/4/1 To 2018/6/30)	Fourth Quarter of fiscal 2017 (From 2018/1/1 To 2018/3/31)	Third Quarter of fiscal 2017 (From 2017/10/1 To 2017/12/31)	Second Quarter of fiscal 2017 (From 2017/7/1 To 2017/9/30)
Consolidated Liquidity Coverage Ratio						
15	Total HQLA allowed to be included in the calculation	62,803,098	63,675,661	60,267,923	59,573,784	57,090,548
16	Net cash outflows	45,565,536	45,536,576	45,859,521	45,481,049	43,356,126
17	Consolidated liquidity coverage ratio (LCR)	137.8%	139.8%	131.4%	130.9%	131.6%