KM1 – Key metrics

Sumitomo Mitsui Banking Corporation

(Millions of yen, except percentages)

Basel Ⅲ Template No.		a	b	c	d	e
		As of December 31, 2018	**As of September 30, 2018**	**As of June 30, 2018**	**As of March 31, 2018**	**As of December 31, 2017**
Available capital						
1	Common Equity Tier 1 capital (CET1)	7,253,113	7,425,751	7,578,270	7,384,889	7,321,051
2	Tier 1 capital	8,648,715	8,848,881	9,073,209	8,875,280	8,457,136
3	Total capital	10,123,329	10,373,421	10,520,852	10,346,108	10,242,354
Risk-weighted assets						
4	Total risk-weighted assets (RWA)	49,366,836	49,887,388	49,681,779	49,001,855	51,706,234
Capital ratio (Non-consolidated)						
5	Common Equity Tier 1 risk-weighted capital ratio (Non-consolidated)	14.69%	14.88%	15.25%	15.07%	14.15%
6	Tier 1 risk-weighted capital ratio (Non-consolidated)	17.51%	17.73%	18.26%	18.11%	16.35%
7	Total risk-weighted capital ratio (Non-consolidated)	20.50%	20.79%	21.17%	21.11%	19.80%
Additional CET1 buffer requirements as a percentage of RWA						
8	Capital conservation buffer requirement	—	—	—	—	—
9	Countercyclical buffer requirement	—	—	—	—	—
10	G-SIB/D-SIB additional requirements	—	—	—	—	—
11	Total of CET1 specific buffer requirements	—	—	—	—	—
12	CET1 available after meeting the minimum capital requirements	—	—	—	—	—
Leverage ratio (Non-consolidated)						
13	Total exposures	—	—	—	—	—
14	Leverage ratio (Non-consolidated)	—	—	—	—	—

KM1 – Key metrics

Sumitomo Mitsui Banking Corporation

(Millions of yen, except percentages)

Basel Ⅲ Template No.		a	b	c	d	e
		Third Quarter of fiscal 2018 (From 2018/10/1 To 2018/12/31)	Second Quarter of fiscal 2018 (From 2018/7/1 To 2018/9/30)	First Quarter of fiscal 2018 (From 2018/4/1 To 2018/6/30)	Fourth Quarter of fiscal 2017 (From 2018/1/1 To 2018/3/31)	Third Quarter of fiscal 2017 (From 2017/10/1 To 2017/12/31)
Non-consolidated Liquidity Coverage Ratio						
15	Total HQLA allowed to be included in the calculation	58,447,129	57,715,368	58,644,543	54,628,520	53,317,723
16	Net cash outflows	41,774,610	41,013,665	41,038,080	40,838,928	39,748,010
17	Non-consolidated liquidity coverage ratio (LCR)	139.9%	140.7%	142.9%	133.7%	134.1%