

KM1 – Key metrics

Sumitomo Mitsui Financial Group, Inc. and Subsidiaries

(Millions of yen, except percentages)

Basel Template No.		a	b	c	d	e
		As of September 30, 2018	As of June 30, 2018	As of March 31, 2018	As of December 31, 2017	As of September 30, 2017
Available capital						
1	Common Equity Tier 1 capital (CET1)	9,497,616	9,426,177	9,217,372	9,516,396	9,060,432
2	Tier 1 capital	10,922,925	10,822,952	10,610,229	11,002,353	10,384,048
3	Total capital	12,572,611	12,499,264	12,304,117	12,994,568	12,372,527
Risk-weighted assets						
4	Total risk-weighted assets (RWA)	63,630,215	64,022,210	63,540,277	71,336,827	69,905,640
Capital ratio (consolidated)						
5	Common Equity Tier 1 risk-weighted capital ratio (consolidated)	14.92%	14.72%	14.50%	13.34%	12.96%
6	Tier 1 risk-weighted capital ratio (consolidated)	17.16%	16.90%	16.69%	15.42%	14.85%
7	Total risk-weighted capital ratio (consolidated)	19.75%	19.52%	19.36%	18.21%	17.69%
Additional CET1 buffer requirements as a percentage of RWA						
8	Capital conservation buffer requirement	1.87%	1.87%	1.87%	1.25%	1.25%
9	Countercyclical buffer requirement	0.04%	0.04%	0.03%	0.01%	0.01%
10	G-SIB/D-SIB additional requirements	0.75%	0.75%	0.75%	0.50%	0.50%
11	Total of CET1 specific buffer requirements	2.66%	2.66%	2.65%	1.76%	1.76%
12	CET1 available after meeting the minimum capital requirements	10.42%	10.22%	10.00%	8.84%	8.46%
Leverage ratio (consolidated)						
13	Total exposures	220,964,084	214,018,214	211,718,140	221,655,920	217,387,440
14	Leverage ratio (consolidated)	4.94%	5.05%	5.01%	4.96%	4.77%

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		Second Quarter of fiscal 2018 (From 2018/7/1 To 2018/9/30)	First Quarter of fiscal 2018 (From 2018/4/1 To 2018/6/30)	Fourth Quarter of fiscal 2017 (From 2018/1/1 To 2018/3/31)	Third Quarter of fiscal 2017 (From 2017/10/1 To 2017/12/31)	Second Quarter of fiscal 2017 (From 2017/7/1 To 2017/9/30)
Consolidated Liquidity Coverage Ratio						
15	Total HQLA allowed to be included in the calculation	63,965,780	64,846,363	61,587,108	60,893,733	58,307,346
16	Net cash outflows	48,151,253	48,088,604	48,220,176	47,693,131	45,764,252
17	Consolidated liquidity coverage ratio (LCR)	132.8%	134.8%	127.7%	127.6%	127.4%