KM1 : Key metrics

Sumitomo Mitsui Banking Corporation

| | | а | b | с | d | e |
|------------------------------|---|--------------------------------|---------------------------|----------------------------|-------------------------------|--------------------------------|
| Basel III Template No. | | As of September 30, 2019 | As of June 30, 2019 | As of March 31, 2019 | As of December 31, 2018 | As of September 30, 2018 |
| Available | capital | | | | | |
| 1 | Common Equity Tier 1 capital (CET1) | 7,011,542 | 7,415,410 | 7,365,742 | 7,253,113 | 7,425,751 |
| 2 | Tier 1 capital | 8,171,016 | 8,747,889 | 8,613,226 | 8,648,715 | 8,848,881 |
| 3 | Total capital | 9,502,205 | 10,106,464 | 10,054,699 | 10,123,329 | 10,373,421 |
| Risk-weig | hted assets | | · | | | · |
| 4 | Total risk-weighted assets (RWA) | 50,591,441 | 49,923,932 | 49,574,518 | 49,366,836 | 49,887,388 |
| Capital rat | tio (Non-consolidated) | | | | | |
| 5 | Common Equity Tier 1 risk-weighted capital ratio (Non-consolidated) | 13.85% | 14.85% | 14.85% | 14.69% | 14.88% |
| 6 | Tier 1 risk-weighted capital ratio (Non-consolidated) | 16.15% | 17.52% | 17.37% | 17.51% | 17.73% |
| 7 | Total risk-weighted capital ratio (Non-consolidated) | 18.78% | 20.24% | 20.28% | 20.50% | 20.79% |
| Leverage 1 | ratio (Non-consolidated) | | | | | |
| 13 | Total exposures | 195,577,777 | 191,444,742 | 192,337,226 | | |
| 14 | Leverage ratio (Non-consolidated) | 4.17% | 4.56% | 4.47% | | |

(Millions of yen, except percentages)

KM1 : Key metrics

Sumitomo Mitsui Banking Corporation

(Millions of yen, except percentages)

| Basel III Template No. | | а | b | c | d | e | | | | | |
|---|---|---|---|---|--|---|--|--|--|--|--|
| | | Second Quarter of fiscal 2019 (From 2019/7/1 To 2019/9/30) | First Quarter of fiscal 2019 (From 2019/4/1 To 2019/6/30) | Fourth Quarter of fiscal 2018 (From 2019/1/1 To 2019/3/31) | Third Quarter of fiscal 2018 (From 2018/10/1 To 2018/12/31) | Second Quarter of fiscal 2018 (From 2018/7/1 To 2018/9/30) | | | | | |
| Non-consolidated Liquidity Coverage Ratio | | | | | | | | | | | |
| 15 | Total HQLA allowed to be included in the calculation | 57,664,531 | 58,637,142 | 58,459,328 | 58,447,129 | 57,715,368 | | | | | |
| 16 | Net cash outflows | 42,292,166 | 41,427,521 | 42,112,727 | 41,774,610 | 41,013,665 | | | | | |
| 17 | Non-consolidated liquidity coverage ratio (LCR) | 136.3% | 141.5% | 138.8% | 139.9% | 140.7% | | | | | |