

KM1 : Key metrics

Sumitomo Mitsui Banking Corporation

(Millions of yen, except percentages)

| Basel III Template No. | | a | b | c | d | e |
|--|--|-------------------------------|--------------------------------|---------------------------|----------------------------|-------------------------------|
| | | As of December 31, 2019 | As of September 30, 2019 | As of June 30, 2019 | As of March 31, 2019 | As of December 31, 2018 |
| Available capital | | | | | | |
| 1 | Common Equity Tier 1 capital (CET1) | 7,224,291 | 7,011,542 | 7,415,410 | 7,365,742 | 7,253,113 |
| 2 | Tier 1 capital | 8,383,766 | 8,171,016 | 8,747,889 | 8,613,226 | 8,648,715 |
| 3 | Total capital | 9,667,380 | 9,502,205 | 10,106,464 | 10,054,699 | 10,123,329 |
| Risk-weighted assets | | | | | | |
| 4 | Total risk-weighted assets (RWA) | 52,027,582 | 50,591,441 | 49,923,932 | 49,574,518 | 49,366,836 |
| Capital ratio (Non-consolidated) | | | | | | |
| 5 | Common Equity Tier 1 risk-weighted capital ratio (Non-consolidated) | 13.88% | 13.85% | 14.85% | 14.85% | 14.69% |
| 6 | Tier 1 risk-weighted capital ratio (Non-consolidated) | 16.11% | 16.15% | 17.52% | 17.37% | 17.51% |
| 7 | Total risk-weighted capital ratio (Non-consolidated) | 18.58% | 18.78% | 20.24% | 20.28% | 20.50% |
| Leverage ratio (Non-consolidated) | | | | | | |
| 13 | Total exposures | 199,472,544 | 195,577,777 | 191,444,742 | 192,337,226 | |
| 14 | Leverage ratio (Non-consolidated) | 4.20% | 4.17% | 4.56% | 4.47% | |

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| | | Third Quarter of fiscal 2019 (From 2019/10/1 To 2019/12/31) | Second Quarter of fiscal 2019 (From 2019/7/1 To 2019/9/30) | First Quarter of fiscal 2019 (From 2019/4/1 To 2019/6/30) | Fourth Quarter of fiscal 2018 (From 2019/1/1 To 2019/3/31) | Third Quarter of fiscal 2018 (From 2018/10/1 To 2018/12/31) |
| Non-consolidated Liquidity Coverage Ratio | | | | | | |
| 15 | Total HQLA allowed to be included in the calculation | 59,539,021 | 57,664,531 | 58,637,142 | 58,459,328 | 58,447,129 |
| 16 | Net cash outflows | 42,760,148 | 42,292,166 | 41,427,521 | 42,112,727 | 41,774,610 |
| 17 | Non-consolidated liquidity coverage ratio (LCR) | 139.2% | 136.3% | 141.5% | 138.8% | 139.9% |