

KM1 : Key metrics

Sumitomo Mitsui Financial Group, Inc. and Subsidiaries

(Millions of yen, except percentages)

Basel III Template No.		a	b	c	d	e
		As of March 31, 2021	As of December 31, 2020	As of September 30, 2020	As of June 30, 2020	As of March 31, 2020
Available capital						
1	Common Equity Tier 1 capital (CET1)	10,562,772	10,356,926	9,994,152	9,861,707	9,581,264
2	Tier 1 capital	11,199,300	10,996,973	10,763,814	10,530,749	10,249,936
3	Total capital	12,289,271	12,115,715	12,027,978	11,759,945	11,552,000
Risk-weighted assets						
4	Total risk-weighted assets (RWA)	66,008,023	64,771,494	62,379,156	62,493,410	61,599,066
Capital ratio (consolidated)						
5	Common Equity Tier 1 risk-weighted capital ratio (consolidated)	16.00%	15.98%	16.02%	15.78%	15.55%
6	Tier 1 risk-weighted capital ratio (consolidated)	16.96%	16.97%	17.25%	16.85%	16.63%
7	Total risk-weighted capital ratio (consolidated)	18.61%	18.70%	19.28%	18.81%	18.75%
Additional CET1 buffer requirements as a percentage of RWA						
8	Capital conservation buffer requirement	2.50%	2.50%	2.50%	2.50%	2.50%
9	Countercyclical buffer requirement	0.02%	0.02%	0.02%	0.02%	0.02%
10	G-SIB/D-SIB additional requirement	1.00%	1.00%	1.00%	1.00%	1.00%
11	Total of CET1 specific buffer requirements	3.52%	3.52%	3.52%	3.52%	3.52%
12	CET1 available after meeting the minimum capital requirements	10.61%	10.70%	11.25%	10.81%	10.63%
Leverage ratio (consolidated)						
13	Total exposures	198,170,783	191,039,921	183,938,673	189,061,080	237,488,658
14	Leverage ratio (consolidated)	5.65%	5.75%	5.85%	5.57%	4.31%

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		Fourth Quarter of fiscal 2020 (From 2021/1/1 To 2021/3/31)	Third Quarter of fiscal 2020 (From 2020/10/1 To 2020/12/31)	Second Quarter of fiscal 2020 (From 2020/7/1 To 2020/9/30)	First Quarter of fiscal 2020 (From 2020/4/1 To 2020/6/30)	Fourth Quarter of fiscal 2019 (From 2020/1/1 To 2020/3/31)
Consolidated Liquidity Coverage Ratio						
15	Total HQLA allowed to be included in the calculation	73,111,608	73,123,811	68,887,366	64,703,478	65,105,452
16	Net cash outflows	52,168,840	51,755,662	50,646,941	52,472,816	51,800,744
17	Consolidated liquidity coverage ratio (LCR)	140.1%	141.2%	136.0%	123.3%	125.6%