

## KM1 : Key metrics

Sumitomo Mitsui Financial Group, Inc. and Subsidiaries

(Millions of yen, except percentages)

Basel III Template No.		a	b	c	d	e
		As of December 31, 2020	As of September 30, 2020	As of June 30, 2020	As of March 31, 2020	As of December 31, 2019
<b>Available capital</b>						
1	Common Equity Tier 1 capital (CET1)	10,356,926	9,994,152	9,861,707	9,581,264	10,071,606
2	Tier 1 capital	10,996,973	10,763,814	10,530,749	10,249,936	11,003,737
3	Total capital	12,115,715	12,027,978	11,759,945	11,552,000	12,350,026
<b>Risk-weighted assets</b>						
4	Total risk-weighted assets (RWA)	64,771,494	62,379,156	62,493,410	61,599,066	61,479,534
<b>Capital ratio (consolidated)</b>						
5	Common Equity Tier 1 risk-weighted capital ratio (consolidated)	15.98%	16.02%	15.78%	15.55%	16.38%
6	Tier 1 risk-weighted capital ratio (consolidated)	16.97%	17.25%	16.85%	16.63%	17.89%
7	Total risk-weighted capital ratio (consolidated)	18.70%	19.28%	18.81%	18.75%	20.08%
<b>Additional CET1 buffer requirements as a percentage of RWA</b>						
8	Capital conservation buffer requirement	2.50%	2.50%	2.50%	2.50%	2.50%
9	Countercyclical buffer requirement	0.02%	0.02%	0.02%	0.02%	0.09%
10	G-SIB/D-SIB additional requirement	1.00%	1.00%	1.00%	1.00%	1.00%
11	Total of CET1 specific buffer requirements	3.52%	3.52%	3.52%	3.52%	3.59%
12	CET1 available after meeting the minimum capital requirements	10.70%	11.25%	10.81%	10.63%	11.88%
<b>Leverage ratio (consolidated)</b>						
13	Total exposures	191,039,921	183,938,673	189,061,080	237,488,658	230,385,098
14	Leverage ratio (consolidated)	5.75%	5.85%	5.57%	4.31%	4.77%

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		Third Quarter of fiscal 2020 (From 2020/10/1 To 2020/12/31)	Second Quarter of fiscal 2020 (From 2020/7/1 To 2020/9/30)	First Quarter of fiscal 2020 (From 2020/4/1 To 2020/6/30)	Fourth Quarter of fiscal 2019 (From 2020/1/1 To 2020/3/31)	Third Quarter of fiscal 2019 (From 2019/10/1 To 2019/12/31)
<b>Consolidated Liquidity Coverage Ratio</b>						
15	Total HQLA allowed to be included in the calculation	73,123,811	68,887,366	64,703,478	65,105,452	65,816,899
16	Net cash outflows	51,755,662	50,646,941	52,472,816	51,800,744	51,177,453
17	Consolidated liquidity coverage ratio (LCR)	141.2%	136.0%	123.3%	125.6%	128.6%