

KM1 : Key metrics

Sumitomo Mitsui Financial Group, Inc. and Subsidiaries

(Millions of yen, except percentages)

| Basel III Template No. | | a | b | c | d | e |
|---|---|---------------------------|----------------------------|-------------------------------|--------------------------------|---------------------------|
| | | As of June 30, 2021 | As of March 31, 2021 | As of December 31, 2020 | As of September 30, 2020 | As of June 30, 2020 |
| Available capital | | | | | | |
| 1 | Common Equity Tier 1 capital (CET1) | 10,718,161 | 10,562,772 | 10,356,926 | 9,994,152 | 9,861,707 |
| 2 | Tier 1 capital | 11,359,117 | 11,199,300 | 10,996,973 | 10,763,814 | 10,530,749 |
| 3 | Total capital | 12,330,274 | 12,289,271 | 12,115,715 | 12,027,978 | 11,759,945 |
| Risk-weighted assets | | | | | | |
| 4 | Total risk-weighted assets (RWA) | 67,763,916 | 66,008,023 | 64,771,494 | 62,379,156 | 62,493,410 |
| Capital ratio (consolidated) | | | | | | |
| 5 | Common Equity Tier 1 risk-weighted capital ratio (consolidated) | 15.81% | 16.00% | 15.98% | 16.02% | 15.78% |
| 6 | Tier 1 risk-weighted capital ratio (consolidated) | 16.76% | 16.96% | 16.97% | 17.25% | 16.85% |
| 7 | Total risk-weighted capital ratio (consolidated) | 18.19% | 18.61% | 18.70% | 19.28% | 18.81% |
| Additional CET1 buffer requirements as a percentage of RWA | | | | | | |
| 8 | Capital conservation buffer requirement | 2.50% | 2.50% | 2.50% | 2.50% | 2.50% |
| 9 | Countercyclical buffer requirement | 0.02% | 0.02% | 0.02% | 0.02% | 0.02% |
| 10 | G-SIB/D-SIB additional requirement | 1.00% | 1.00% | 1.00% | 1.00% | 1.00% |
| 11 | Total of CET1 specific buffer requirements | 3.52% | 3.52% | 3.52% | 3.52% | 3.52% |
| 12 | CET1 available after meeting the minimum capital requirements | 10.19% | 10.61% | 10.70% | 11.25% | 10.81% |
| Leverage ratio (consolidated) | | | | | | |
| 13 | Total exposures | 196,559,951 | 198,170,783 | 191,039,921 | 183,938,673 | 189,061,080 |
| 14 | Leverage ratio (consolidated) | 5.77% | 5.65% | 5.75% | 5.85% | 5.57% |

KM1 : Key metrics

Sumitomo Mitsui Financial Group, Inc. and Subsidiaries

(Millions of yen, except percentages)

| Basel III Template No. | | a | b | c | d | e |
|--|---|--|---|--|---|--|
| | | First Quarter of fiscal 2021 (From 2021/4/1 To 2021/6/30) | Fourth Quarter of fiscal 2020 (From 2021/1/1 To 2021/3/31) | Third Quarter of fiscal 2020 (From 2020/10/1 To 2020/12/31) | Second Quarter of fiscal 2020 (From 2020/7/1 To 2020/9/30) | First Quarter of fiscal 2020 (From 2020/4/1 To 2020/6/30) |
| Consolidated Liquidity Coverage Ratio | | | | | | |
| 15 | Total HQLA allowed to be included in the calculation | 76,775,497 | 73,111,608 | 73,123,811 | 68,887,366 | 64,703,478 |
| 16 | Net cash outflows | 53,550,233 | 52,168,840 | 51,755,662 | 50,646,941 | 52,472,816 |
| 17 | Consolidated liquidity coverage ratio (LCR) | 143.3% | 140.1% | 141.2% | 136.0% | 123.3% |