

KM1 : Key metrics

Sumitomo Mitsui Financial Group, Inc. and Subsidiaries

(Millions of yen, except percentages)

Basel III Template No.		a	b	c	d	e
		As of September 30, 2021	As of June 30, 2021	As of March 31, 2021	As of December 31, 2020	As of September 30, 2020
Available capital						
1	Common Equity Tier 1 capital (CET1)	10,938,947	10,718,161	10,562,772	10,356,926	9,994,152
2	Tier 1 capital	11,577,364	11,359,117	11,199,300	10,996,973	10,763,814
3	Total capital	12,563,098	12,330,274	12,289,271	12,115,715	12,027,978
Risk-weighted assets						
4	Total risk-weighted assets (RWA)	68,689,415	67,763,916	66,008,023	64,771,494	62,379,156
Capital ratio (consolidated)						
5	Common Equity Tier 1 risk-weighted capital ratio (consolidated)	15.92%	15.81%	16.00%	15.98%	16.02%
6	Tier 1 risk-weighted capital ratio (consolidated)	16.85%	16.76%	16.96%	16.97%	17.25%
7	Total risk-weighted capital ratio (consolidated)	18.28%	18.19%	18.61%	18.70%	19.28%
Additional CET1 buffer requirements as a percentage of RWA						
8	Capital conservation buffer requirement	2.50%	2.50%	2.50%	2.50%	2.50%
9	Countercyclical buffer requirement	0.02%	0.02%	0.02%	0.02%	0.02%
10	G-SIB/D-SIB additional requirement	1.00%	1.00%	1.00%	1.00%	1.00%
11	Total of CET1 specific buffer requirements	3.52%	3.52%	3.52%	3.52%	3.52%
12	CET1 available after meeting the minimum capital requirements	10.28%	10.19%	10.61%	10.70%	11.25%
Leverage ratio (consolidated)						
13	Total exposures	199,852,468	196,559,951	198,170,783	191,039,921	183,938,673
14	Leverage ratio (consolidated)	5.79%	5.77%	5.65%	5.75%	5.85%