

Composition of Leverage Ratio

Sumitomo Mitsui Banking Corporation

(In million yen)

Basel III Template No.	Items	As of September 30, 2024	As of June 30, 2024
1	Total assets reported in the balance sheet	243,825,845	255,889,732
3	Adjustment for securitised exposures that meet the operational requirements for the recognition of risk transference	—	—
4	Adjustments for exemption of central bank reserves (-)	57,473,900	58,766,715
5	Adjustment for fiduciary assets recognised on the balance sheet pursuant to the operative accounting framework but excluded from the leverage ratio exposure measure (-)		
6	Adjustments for regular-way purchases and sales of financial assets subject to trade date accounting	288,422	176,281
7	Adjustments for eligible cash pooling transactions	—	—
8	Adjustments for total exposures related to derivatives transactions, etc.	△ 491,694	△ 2,075,994
8a	Total exposures related to derivatives transactions, etc.	6,273,801	7,064,024
8b	The amount of deductions from the exposures above (line 8a) (-)	6,765,496	9,140,019
9	Adjustment for total exposures related to repo transactions, etc.	303,368	365,717
9a	Total exposures related to repo transactions, etc.	8,607,660	5,881,657
9b	The amount of deductions from the exposures above (line 9a) (-)	8,304,292	5,515,939
10	Adjustments for total off-balance sheet exposures	30,623,001	32,646,404
11	The amount of adjustments associated with Tier 1 capital (specific and general provisions) (-)	—	—
12	Other adjustments	△ 15,359,571	△ 17,121,159
12a	The amount of adjustments associated with Tier 1 capital (excluding specific and general provisions) (-)	1,000,797	876,150
12b	The amount of customers' liabilities for acceptances and guarantees (-)	14,146,007	15,916,817
12c	The amount of receivables arising from providing collateral, provided where deducted from the balance sheet pursuant to the operative accounting framework	—	—
12d	The amount of receivables arising from providing cash variation margin (-)	212,766	328,190
13	Total exposures	201,715,471	211,114,267

Basel III Template No.	Items	As of September 30, 2024	As of June 30, 2024
On-balance sheet exposures (1)			
1	On-balance sheet exposures before deducting adjustments	157,424,572	166,726,522
2	The amount of receivables arising from providing collateral, provided where deducted from the balance sheet pursuant to the operative accounting framework	—	—
3	The amount of receivables arising from providing cash variation margin (-)	212,766	328,190
4	Adjustment for securities received under repo transactions that are recognised as assets (-)	—	—
5	The amount of adjustments associated with Tier 1 capital (specific and general provisions) (-)	—	—
6	The amount of adjustments associated with Tier 1 capital (excluding specific and general provisions) (-)	1,000,797	876,150
7	Total on-balance sheet exposures (a)	156,211,007	165,522,181
Exposures related to derivatives transactions, etc. (2)			
8	Replacement cost associated with derivatives transactions, etc. (with the 1.4 alpha factor applied)	2,052,578	2,781,192
9	Add-on amounts for potential future exposure associated with derivatives transactions, etc. (with the 1.4 alpha factor applied)	4,221,222	4,282,832
10	Exempted central counterparty (CCP) leg of client-cleared trade exposures (-)	—	—
11	Adjusted effective notional amount of written credit derivatives	—	—
12	The amount of deductions from effective notional amount of written credit derivatives (-)	—	—
13	Total exposures related to derivatives transactions, etc. (b)	6,273,801	7,064,024
Exposures related to repo transactions, etc. (3)			
14	The amount of assets related to repo transactions, etc.	8,304,292	5,515,939
15	The amount of deductions from the assets above (line 14) (-)	—	—
16	The exposures for counterparty credit risk for repo transactions, etc.	303,368	365,717
17	The exposures for agent repo transaction		
18	Total exposures related to repo transactions, etc. (c)	8,607,660	5,881,657
Exposures related to off-balance sheet transactions (4)			
19	Notional amount of off-balance sheet items	76,866,980	80,355,093
20	The amount of adjustments for conversion to off-balance sheet exposures (-)	46,243,979	47,708,688
22	Total off-balance sheet exposures (d)	30,623,001	32,646,404
Leverage ratio (5)			
23	The amount of capital (Tier 1 capital) (e)	9,609,483	9,727,884
24	Total exposures ((a)+(b)+(c)+(d)) (f)	201,715,471	211,114,267
25	Leverage ratio ((e)/(f))	4.76%	4.60%
26	Minimum leverage ratio requirement	3.15%	3.15%
27	Applicable leverage buffer requirement	—	—
Leverage ratio (including due from Bank of Japan) (6)			
	Total exposures (f)	201,715,471	211,114,267
	The amount of due from Bank of Japan	57,473,900	58,766,715
	Total exposures (including due from Bank of Japan) (f')	259,189,371	269,880,982
	Leverage ratio (including due from Bank of Japan) ((e)/(f'))	3.70%	3.60%
Disclosure of mean values (7)			
28	Mean value of assets related to repo transactions, etc. (after the deductions) ((g)+(h))	4,955,557	3,812,388
	Mean value of assets related to repo transactions, etc. (g)	4,955,557	3,812,388
	Mean value of deductions from the assets above (-) (h)	—	—
29	Quarter-end value of assets related to repo transactions, etc. (after the deductions) ((i)+(j))	8,304,292	5,515,939
14	Quarter-end value of assets related to repo transactions, etc. (i)	8,304,292	5,515,939
15	Quarter-end value of deductions from the assets above (line 14) (-) (j)	—	—
30	Total exposures (including mean value above (line 28), but excluding due from Bank of Japan) (k)	198,366,736	209,410,716
30a	Total exposures (including mean value above (line 28) and due from Bank of Japan) (l)	255,840,636	268,177,431
31	Leverage ratio (including mean value above (line 28), but excluding due from Bank of Japan) ((e)/(k))	4.84%	4.64%
31a	Leverage ratio (including mean value above (line 28) and due from Bank of Japan) ((e)/(l))	3.75%	3.62%