Composition of Leverage Ratio

Sumitomo Mitsui Banking Corporation

(In million yen)

Basel Ⅲ Template No.	Items	As of December 31, 2024	As of September 30, 2024
1	Total assets reported in the balance sheet	262,186,964	243,825,845
3	Adjustment for securitised exposures that meet the operational requirements for the recognition of risk transference	—	—
4	Adjustments for exemption of central bank reserves (-)	56,614,078	57,473,900
5	Adjustment for fiduciary assets recognised on the balance sheet pursuant to the operative accounting framework but excluded from the leverage ratio exposure measure (-)		
6	Adjustments for regular-way purchases and sales of financial assets subject to trade date accounting	134,225	288,422
7	Adjustments for eligible cash pooling transactions	—	—
8	Adjustments for total exposures related to derivatives transactions, etc.	△ 1,574,820	△ 491,694
8a	Total exposures related to derivatives transactions, etc.	7,492,118	6,273,801
8b	The amount of deductions from the exposures above (line 8a) (-)	9,066,938	6,765,496
9	Adjustment for total exposures related to repo transactions, etc.	302,315	303,368
9a	Total exposures related to repo transactions, etc.	9,335,882	8,607,660
9b	The amount of deductions from the exposures above (line 9a) (-)	9,033,566	8,304,292
10	Adjustments for total off-balance sheet exposures	34,153,024	30,623,001
11	The amount of adjustments associated with Tier 1 capital (specific and general provisions) (-)	—	—
12	Other adjustments	△ 17,728,357	△ 15,359,571
12a	The amount of adjustments associated with Tier 1 capital (excluding specific and general provisions) (-)	1,299,279	1,000,797
12b	The amount of customers' liabilities for acceptances and guarantees (-)	16,266,702	14,146,007
12c	The amount of receivables arising from providing collateral, provided where deducted from the balance sheet pursuant to the operative accounting framework	—	—
12d	The amount of receivables arising from providing cash variation margin (-)	162,375	212,766
13	Total exposures	220,859,274	201,715,471

Sumitomo Mitsui Banking Corporation

(In million yen, %)

Basel Ⅲ Template No.	Items		As of December 31, 2024	As of September 30, 2024
On-balance sheet exposures (1)				
1	On-balance sheet exposures before deducting adjustments		171,339,903	157,424,572
2	The amount of receivables arising from providing collateral, provided where deducted from the balance sheet pursuant to the operative accounting framework		—	—
3	The amount of receivables arising from providing cash variation margin (-)		162,375	212,766
4	Adjustment for securities received under repo transactions that are recognised as assets (-)		—	—
5	The amount of adjustments associated with Tier 1 capital (specific and general provisions) (-)		—	—
6	The amount of adjustments associated with Tier 1 capital (excluding specific and general provisions) (-)		1,299,279	1,000,797
7	Total on-balance sheet exposures	(a)	169,878,248	156,211,007
Exposures related to derivatives transactions, etc. (2)				
8	Replacement cost associated with derivatives transactions, etc. (with the 1.4 alpha factor applied)		2,848,836	2,052,578
9	Add-on amounts for potential future exposure associated with derivatives transactions, etc. (with the 1.4 alpha factor applied)		4,643,282	4,221,222
10	Exempted central counterparty (CCP) leg of client-cleared trade exposures (-)		—	—
11	Adjusted effective notional amount of written credit derivatives		—	—
12	The amount of deductions from effective notional amount of written credit derivatives (-)		—	—
13	Total exposures related to derivatives transactions, etc.	(b)	7,492,118	6,273,801
Exposures related to repo transactions, etc. (3)				
14	The amount of assets related to repo transactions, etc.		9,033,566	8,304,292
15	The amount of deductions from the assets above (line 14) (-)		—	—
16	The exposures for counterparty credit risk for repo transactions, etc.		302,315	303,368
17	The exposures for agent repo transaction			
18	Total exposures related to repo transactions, etc.	(c)	9,335,882	8,607,660
Exposures related to off-balance sheet transactions (4)				
19	Notional amount of off-balance sheet items		83,764,465	76,866,980
20	The amount of adjustments for conversion to off-balance sheet exposures (-)		49,611,440	46,243,979
22	Total off-balance sheet exposures	(d)	34,153,024	30,623,001
Leverage ratio (5)				
23	The amount of capital (Tier 1 capital)	(e)	9,637,584	9,609,483
24	Total exposures ((a)+(b)+(c)+(d))	(f)	220,859,274	201,715,471
25	Leverage ratio ((e)/(f))		4.36%	4.76%
26	Minimum leverage ratio requirement		3.15%	3.15%
27	Applicable leverage buffer requirement		—	—
Leverage ratio (including due from Bank of Japan) (6)				
	Total exposures	(f)	220,859,274	201,715,471
	The amount of due from Bank of Japan		56,614,078	57,473,900
	Total exposures (including due from Bank of Japan)	(f')	277,473,353	259,189,371
	Leverage ratio (including due from Bank of Japan) ((e)/(f'))		3.47%	3.70%
Disclosure of mean values (7)				
28	Mean value of assets related to repo transactions, etc. (after the deductions) ((g)+(h))		5,927,807	4,955,557
	Mean value of assets related to repo transactions, etc.	(g)	5,927,807	4,955,557
	Mean value of deductions from the assets above (-)	(h)	—	—
29	Quarter-end value of assets related to repo transactions, etc. (after the deductions) ((i)+(j))		9,033,566	8,304,292
14	Quarter-end value of assets related to repo transactions, etc.	(i)	9,033,566	8,304,292
15	Quarter-end value of deductions from the assets above (line 14) (-)	(j)	—	—
30	Total exposures (including mean value above (line 28), but excluding due from Bank of Japan)	(k)	217,753,516	198,366,736
30a	Total exposures (including mean value above (line 28) and due from Bank of Japan)	(l)	274,367,595	255,840,636
31	Leverage ratio (including mean value above (line 28), but excluding due from Bank of Japan) ((e)/(k))		4.42%	4.84%
31a	Leverage ratio (including mean value above (line 28) and due from Bank of Japan) ((e)/(l))		3.51%	3.75%